

Supplementary Instructions

for Proposals Involving Cooperation with the Autonomous Province of Bolzano-South Tyrol in a Lead Agency Procedure (D-Süd LAV)



Disclaimer: The English translation of this document is provided for informational purposes. In the event of a discrepancy between the English and the German versions, the German text takes precedence.

Deutsche Forschungsgemeinschaft

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I General Information

In order to simplify evaluation of cross-border projects by researchers from Germany and South Tyrol, the Autonomous Province of Bolzano-South Tyrol (hereinafter: "Province of South Tyrol") and the DFG have concluded an agreement for cooperation in reviewing and funding bilateral research projects (D-Süd Lead Agency Procedure). This agreement applies only to bilateral projects that promise clearly recognisable additional scientific value owing to the cross-border cooperation, so that the national components do not constitute stand-alone projects and therefore cannot be funded alone.

1 Main features of the Lead Agency Procedure

The Lead Agency Procedure is used for evaluation of projects that comprise a German and a South Tyrolean project component, as well as purely South Tyrolean projects (only in the context of an existing DFG Priority Programme or a Research Unit), carried out solely by the DFG as the Lead Agency. The Province of South Tyrol recognises the review results of the DFG and uses them as a basis for decision-making concerning the project component contributed by the applicants from its own country.

All applicants need to submit only one joint proposal to the DFG, and the procedural rules of the DFG for the funding programme in question apply for this proposal. Any duty to cooperate for DFG applicants at non-university research institutions can only be fulfilled through cooperation with a partner at a German university/higher education institution. Please refer to the DFG Guidelines on the Duty to Cooperate for information regarding eligibility requirements for non-university research institutions:

www.dfg.de/formulare/55_01

The DFG informs the Province of South Tyrol about the proposal, in order to enable it to verify the formal correctness of the proposal, the eligibility of the South Tyrolean cooperation partner and the amount of funds requested for the South Tyrolean project component according to its own rules.

Lead Agency proposals are reviewed in accordance with the general rules of the DFG for the relevant funding programme. Once received, the reviews and assessments are made available to the Province of South Tyrol as a basis for decision-making for the project component submitted there. In the event of a positive decision by the DFG, a so-called contribution proposal for the South Tyrolean project component must be submitted to the Province of South Tyrol

within 7 days of receiving notice of the DFG's positive decision. Approval of the German project component by the DFG is awarded subject to the Province of South Tyrol approving the contribution proposal for the South Tyrolean project component.

Due to the amount of cross-border coordination involved, it generally takes about two months longer to process Lead Agency proposals than purely national proposals.

2 Requested funding and project duration

The DFG does not set aside any funds for Lead Agency proposals; such proposals must always compete with all other purely national proposals. The Province of South Tyrol meanwhile allocates special funding for the South Tyrolean project components every year.

The proposed project duration must be no longer than 36 months, if research grants are requested in the context of Priority Programmes. When proposals are submitted in the context of Research Units, the proposed project duration must be no longer than 48 months, and only 36 months can be approved for the South Tyrolean project component in the first step, requiring a renewal proposal on the South Tyrolean side (see chapter II D). The Province of South Tyrol grants up to €300,000 per project for the South Tyrolean project component.

II Proposal Instructions

Proposals within the Lead Agency Procedure may be submitted to the DFG at any time.

Proposals must be submitted in English. Exceptions from this rule are possible in the humanities, but only subject to prior consultation with the relevant DFG programme office.

As with other requests for research grants, proposals must be submitted via the elan portal.

elan.dfg.de

Select the supplemental classification “D-Süd Projekt (LAV)” under “Proposal Information”.

Please refer to the Proposal Preparation Instructions – Project Proposals:

www.dfg.de/formulare/54_01

In addition, note the following supplementary instructions relating to sections A to C of the proposal.

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A Proposal Data and Obligations

For each module, please enter only the funds requested from the DFG. Note that only Germany-based applicants should be entered as “applicants”. International partners should be entered as “participating individuals” (cooperation partners).

B Project Description

The overall project and the project components to be carried out in the individual countries must be detailed in the project description. A separate budget plan must be provided for each component of the project.

C Attachments

Academic CVs with a list of the most important scientific results must be uploaded for all participating German and international applicants. The template provided (DFG form 53.200) must be used for this purpose:

www.dfg.de/formulare/53_200_elan

Please note the Guidelines for Preparing Publication Lists:

www.dfg.de/formulare/1_91

Furthermore, the additional form "Finanzplan" (financial plan) from the Province of South Tyrol "Budget for the South Tyrolean project component" must be completed and uploaded in the elan portal under "Other attachments" as part of the DFG proposal:

www.provinz.bz.it/joint-projects

D Further Information

Following a positive decision by the DFG, the Province of South Tyrol will inform the South Tyrolean cooperation partner. They then have 7 days to submit the necessary contribution proposal including attachments for their component to the relevant province authority. This proposal must be drawn up using the form provided by the province authority in charge.

Owing to the applicable budget provisions of the Province of South Tyrol according to which approval can be granted for a maximum of 36 months, a renewal proposal must be submitted during the respective funding period both in the first and second funding period of a Research Unit to apply for funding for the South Tyrolean project component for a total of 48 months each. An interim evaluation by the DFG is required in the context of the renewal proposal. To

this end, the South Tyrolean project leader must submit an interim report about the progress of project activities and the results achieved to date for their project component. No interim report is required for the other project components of the Research Unit. Following a positive interim evaluation, the DFG will inform the Province of South Tyrol and the South Tyrolean project leader. Each individual case must be coordinated between the DFG Head Office, the Province of South Tyrol and the project leaders involved, to determine when it is appropriate to submit the renewal proposal, prepare the required interim report and thus initiate the interim evaluation.

Please observe the information provided by the Province of South Tyrol:

www.provinz.bz.it/joint-projects

III Reports

Reports are prepared separately in accordance with the individual funding organisations' requirements. The scientific content of the reports must be identical and refer to the overall project, however, they must also reflect which component of the project is accounted for by which partner.

IV Contacts and Further Information About the D-Süd Lead Agency Procedure

DFG:

For details of the relevant DFG contacts, see:

www.dfg.de/foerderung/programme/inter_foerdermassnahmen/antragstellung_suedtirol

Province of South Tyrol:

For details of the relevant contacts for the Province of South Tyrol, see:

www.provinz.bz.it/joint-projects

Further information online:

www.dfg.de/foerderung/programme/inter_foerdermassnahmen/antragstellung_suedtirol