

Participation in collaborative projects | Coordinated programmes | ExStra

Possibilities for Emmy Noether group leaders

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 - Clusters of excellence
- 4 | Practical aspects and tips











1 | Key features of collaborative research projects

- Collaboration of several researchers with a <u>common topic</u>
- Cooperation (interdisciplinary)
- Outstanding in international comparison
- Programme-specific purposes:
 - Medium-term, close collaboration (FOR)
 - Give clear impetus to the advancement of science and the humanities through coordinated multi-location funding for important new topics (SPP)
 - qualify doctoral researchers within the framework of a focused research programme and a structured training and supervision strategy (RTG, GRK)
 - Enable research topic-oriented structural development and institutional priority area development at one or several universities (SFB, SFB/Transregio, EXC)
- Funding: staff and direct project costs



1 | Key features of collaborative research projects

Funding criteria for individual projects versus collaborative projects

- Quality of the project
- Objectives and work programme
- Applicant's qualification
- Work and research environment

Additional for collaborative projects

- Quality and added value of the cooperation
- Group composition
- Equal opportunity and diversity measures
- Support of early career researchers
- Programme specific criteria...





2 | Advantages of participating in collaborative projects

- National and international networking and visibility
- Interdisciplinary environment and excellent infrastructure
- Central funding for e.g.
 - Seminars, colloquia, joint activities such as retreats,
 - Guest researchers, Mercator Fellows
 - Equipment, consumables
 - Public relations, science communication
 - Equal opportunity measures
 - Module Temporary Substitutes for Clinicians
- Opportunity to further develop your scientific profile with a new topic



2 | Opportunities of participating in collaborative projects

With the proposal submission

- ► As a project leader / participating researcher
- ▶ In the program committee of Priority Programmes

During the collaborative project's runtime

- ► FOR/SPP: Emmy Noether groups can be associated (no supplemental proposal)
- ► SFB/GRK: supplemental proposal (consultation with the head office)
- ► EXC/FZT: more flexible, (no sub-project-structure and thereby no limitation to the duration of a funding period)



3 | DFG coordinated programmes

Overview

www.dfg.de/en/research-funding/funding-opportunities/programmes/coordinated-programmes

Coordinated programmes

- Research Units (FOR), clinical research units (KFO), Centres for Advanced
 Studies in Humanities and Social Sciences (Kolleg-FOR)
- Priority programmes (SPP)
- Research Training Groups (RTG or GRK)
- Collaborative Research Centres (SFB and SFB/Transregio)
- Clusters of Excellence (EXC)
- ► Research Impulses (FIP)
- DFG Research Centres (FZT)



3 | DFG Research Units (Forschungsgruppen, FOR)

Close collaboration on a common research topic

Purpose

 Close cooperation of a team of outstanding researchers with a common research goal that goes beyond the possibilities of individual funding

Features and scope

- Medium size: single-digit number of individual projects (and PIs)
- Localized or distributed
- Funding duration: 2 x 4 years





3 | DFG Research Units (Forschungsgruppen, FOR)

Close collaboration on a common research topic

www.dfg.de/for/en

Two-stage application process

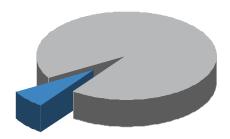
- Draft proposals are evaluated by the review board on a comparative basis
- ► Full proposals reviewed on site and decided in the joint committee

Eligibility

- DFG's basic rules on eligibility apply; possibility to include sub-projects abroad
- Spokesperson: highly qualified, management skills, experience in leading projects

Special forms

- Clinical research units (KFO)
- Centres for Advanced Studies in Humanities and Social Sciences



5,8% Share of total approval volume 2023

Programme-specific purpose

- Creation and strengthening of research and training structures in university hospitals
- Building the scientific profile of the medical faculties
- Intensifying cooperation between clinical and basic science researchers

Special features

- Only possible at one specific location
- Research professorship (co-financing and budget commitment by university required)



3 | Centres for Advanced Studies in Humanities and Social Sciences

www.dfg.de/advanced_studies_centre

Special features

- Only in the humanities and social sciences
- Broad topic provides a framework for the integration of individual research ideas
- Collective, no individual sub-projects
- ► Fellow programme, research professorship
- At one specific location



Emmy Noether-Treffen | Potsdam, 18.-20.7. 2024

3 | Priority Programmes (Schwerpunktprogramm, SPP)

A topic-oriented funding program with an open call for proposals

www.dfg.de/spp/en

Purpose

- ► Handling of topics that are expected to have a formative impact
- Impact can be achieved both by discovering new areas of research and also by rethinking known areas

Features and scope

► Funding period: generally 2 x 3 years; per period around 10 -14 distributed sub-projects

5,8% Share of total approval volume 2023

Requirements for a priority programme

- Promotes collaboration between disciplines and locations (networking)
- Synergy: Concepts and tools for organising collaboration
- Concepts for promoting early career researchers, equal opportunities and diversity
- Qualification of the coordinator



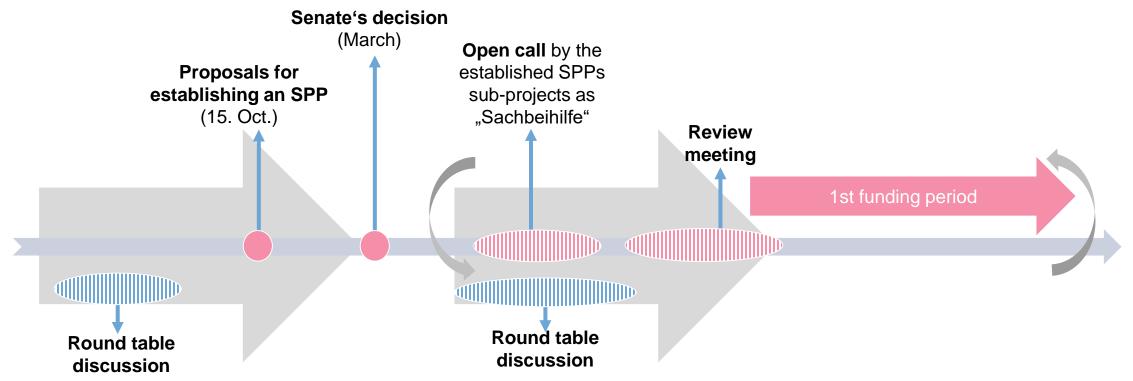
www.dfg.de/spp/en

3 | Priority Programmes (Schwerpunktprogramm, SPP)

A topic-oriented funding program with an open call for proposals

Two-stage application process

1st stage: Establishment by coordinator; 2nd stage: Open call by established SPP



3 | Research Training Groups (Graduiertenkolleg, GRK)

Promoting early career researchers

www.dfg.de/gk/en

Purpose

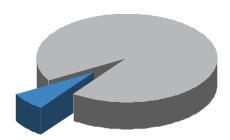
 Qualification of doctoral researchers within a thematically focued research programme and a tailored supervision/training strategy

Features and scope

- Ca. 10 PIs at one location (participation of ECR is expected!)
- Funding duration: 5+4 years
- No sub-projects, management structure depends on the GRK
- ► Funding for 24-45 doctoral resarchers (e.g. 3 x 10) + central budget (highly flexible), ca. 1-2 Mio Euros/year

Eligibility

- ▶ PI: contract duration for at least one cohort, right to supervise PhD researchers
- Spokesperson: full professor, highly qualified, management skills, supervision experience



7,3% Share of total approval volume 2023



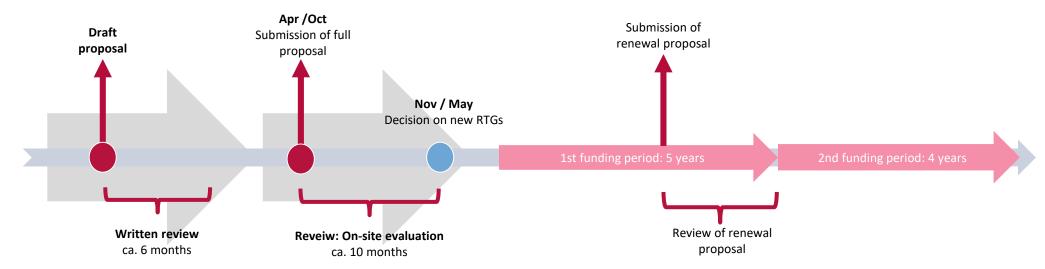
3 | Research Training Groups (Graduiertenkolleg, GRK)

Promoting early career researchers

www.dfg.de/gk/en

Two-stage review process

- draft proposal + full proposal (+ renewal for a second funding period)
- Application by usually one university



Special form: International Research Training Group

Close collaboration of one German university and one abroad (RTG funding only for German part)

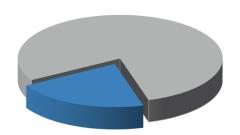


3 | Collaborative Research Centres (Sonderforschungsbereiche, SFB)

Features and scope

www.dfg.de/sfb/en

- Research centres running up to 12 years (3 x 4 years)
- Free choice of hot topics by scientists (bottom up approach)
- Overarching, long-term research question and interdisciplinary scope
- In addition, CRCs aim at strengthening the research profile of the universities
- SFB with one main university site or SFB/Transregio with 2-3 universities
- ▶ Participation from other universities and non-university institutions possible
- Application by universities (two phases: (1) online consultation phase, (2) on site evaluation)
- Coherence: close cooperation between the projects and PIs necessary (added value)
- Organized in subprojects: approx. 15 to 25 scientific subprojects; service projects and structural projects (Integrated research school, data management, public outreach)



23,5% Share of total approval volume 2023



3 | Collaborative Research Centres (Sonderforschungsbereiche, SFB)

www.dfg.de/sfb/en

Funding by the DFG encompasses:

- Research staff and non-research staff (PhD, postdocs, technicians, data steward, coordination, student assistants)
- Direct project costs (consumables, small equipment, clean room costs, instrumentation)
- ► Central administrative project: highly flexible management of funds
- Funding of travel costs, visiting scientists, retreats, workshops, coordination
- **Lump sums** for purchase of new equipment, for start-up grants, for public outreach, for data management, equal opportunities
- Prerequisite: applicant universities provide adequate basic funding
 - own position paid by basic funding of university, alternatively funding from other sources like Heisenberg Programme, Emmy Noether Programme, ERC, EXC

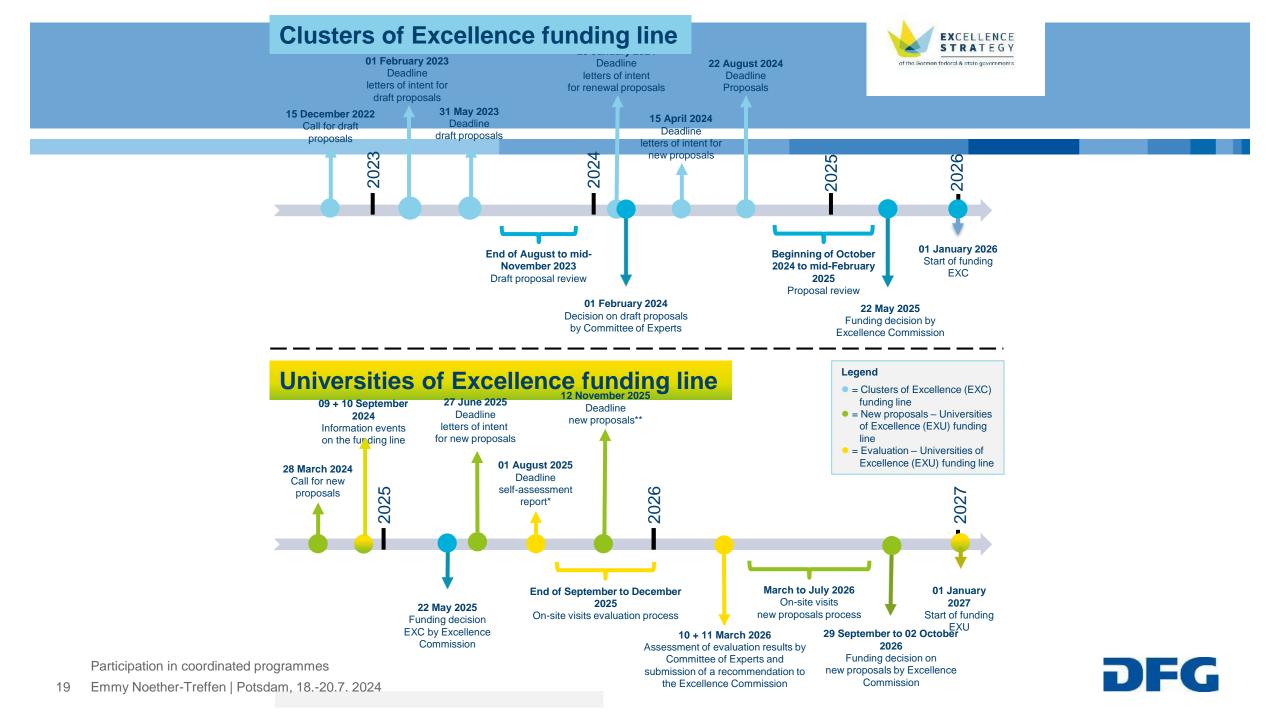


3 | Excellence Strategy of the German federal & state governments

www.dfg.de/excellence_strategy

- Excellence strategy, first funding period (2019 bis 2025)
 - € 533 million per year for 2 funding lines Clusters of Excellence (EXC) and Universities of Excellence (evaluated by the WR)
 - Currently 57 EXC funded and 11 Universities of Excellence
 - DFG, German Research Foundation Excellence Strategy
- Excellence strategy, second funding period (2026 bis 2032)
 - € 687 million per year for 2 funding lines
 - Up to 70 Clusters of Excellence and up to 15 Universities of Excellence
 - <u>exstra-zeitplan-2-wettbewerbsphase-en-data.pdf</u> (dfg.de)
 - <u>DFG, German Research Foundation Programme Information Clusters of Excellence</u>
 (EXC) and Universities of Excellence (EXU)





3 | Clusters of Excellence (Exzellenzcluster, EXC)

Features and scope

www.dfg.de/excellence_strategy

- Project-based funding of internationally competitive research fields in universities and university consortium
- Promotion of scientific excellence, strengthening of universities (applicants)
- Long-term collaborations with a common overarching research question
- Free choice of topic, usually interdisciplinary in design
- Funding period of seven years (+ a further 7 years)
- Scope of funding: 3-10 million € per year
- Cooperation possible with e.g. other universities, non-university institutions, private industry and other areas of society
- Funding covers personnel, direct project costs and instrumentation
- Funds often used for additional professorships and heads of independent junior research groups (less funding of subprojects as in SFB): So watch out for advertisements or contact the EXC on own initiative (May 2025)



3 | Research Impulses (Forschungsimpulse, FIP)

Thematically open, knowledge-driven collaborative research at UAS

Purpose

- develop the potential of a research-strong university of applied sciences (UAS, HAW/FH)
- support the profile development of UAS, improve conditions for knowledge-driven research in the long term

Features and scope

- Established by a university of applied sciences (UAS, HAW/FH)
- Funding duration: 5 + 3 years, volume: max. 1 Mio. € / year (can be used flexibly)

Two-stage application process

► Five rounds of calls for proposals (third call for proposals Q4/2024)

Requirements for participating as a lead researcher

 own position from the UAS's core budget for the respective funding period; alternatively funding from other sources possible in exceptional cases (e.g. Heisenberg Programme, Emmy Noether Programme, ERC)



Contact: fip@dfg.de

4 | Practical aspects and tips

Change of institution

In Research Units, Priority Programmes & Collaborative Research Center

- Possibility to take the sub-project with you if you move to another institution in Germany
 - Approval by DFG required

In Research Training Groups

- Possibility to remain in the RTG as a PI (e.g. through supervision), but funds remain at the establishing university
 - Approval by DFG required

Change to an institution abroad: individual decision (contact DFG head office in advance)



4 | Practical aspects and tips

Contract duration

Requirements concerning your contract duration

- FOR/SPP: own position secured for most of the duration of the project/funding period
- GRK: own position secured for at least one PhD cohort (better: for one funding period)
- ➤ SFB: own position secured for the funding period (4 years); CRC can negotiate with university to extend funding
- Prospect of a second funding period of the ENP or Tenure Track is sufficient





Vielen Dank für Ihre Aufmerksamkeit

Weitere Informationen

- zur DFG: http://www.dfg.de
- zum Förderatlas: http://www.dfg.de/foerderatlas
- zu allen geförderten Projekten: http://www.dfg.de/gepris
- ▶ zu den deutschen Forschungseinrichtungen: http://research-explorer.de

