



European Research Council (ERC) Funding Opportunities

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Outline

- KoWi & the ERC National Contact Point
- Context: Horizon 2020 & Horizon Europe
- ERC funding principles & schemes
- ERC application & peer review
- Success rates
- ERC calls 2020
- Further information & support





KoWi & the ERC National Contact Point





KoWi – European Liaison Office of the German Research Organisations



Information & training on EU research funding



Serving its
Association, with
focus on
universities

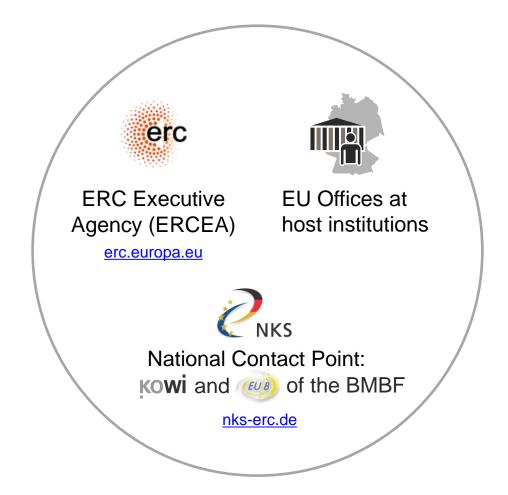


Networking platform





Support for ERC applications in Germany







Context: Horizon 2020 & Horizon Europe





Horizon 2020 (2014-2020)

Part I – Excellent Science

- 1. European Research Council (ERC)
 - 2. Future and Emerging Technologies (FET)
 - 3. Marie Skłodowska-Curie Actions (MSCA)
- 4. European Research Infrastructures, including e-infrastructures

Part II - Industrial Leadership

- Leadership in Enabling & Industrial Technologies (LEIT)
- Information and Communication Technologies
- Nanotechnologies, Advanced
 Materials, Advanced
 Manufacturing and Processing
 and Biotechnology
- Space
 - 2. Access to Risk Finance
 - 3. Innovation in SMEs

Part III -Societal Challenges

- 1. Health, demographic change and wellbeing
- 2. Food security, sustainable agriculture, marine, maritime and inland water research and the bioeconomy
- 3. Secure, clean and efficient energy
- 4. Smart, green and integrated transport
- 5. Climate action, environment, resource efficiency and raw materials
- 6. Inclusive, innovative and reflective societies
- 7. Protecting freedom and security

Part IV
Spreading excellence & widening participation

Part V
Science with &
for Society

Non-nuclear direct actions of the Joint Research Centre (JRC)

The European Institute of Innovation and Technology (EIT)

European Commission





Horizon Europe (2021-2027)



Pillar 1
Excellence Science

European Research Council

Marie Skłodowska-Curie Actions

Research Infrastructures



Pillar 2
Global Challenges and
Industrial Competitiveness

- Health
- Inclusive and Secure Society
- 💆 Digital and Industry
- Climate, Energy and Mobility
 - Food and natural resources

Joint Research Centre



Pillar 3
Open Innovation

European Innovation Council

European innovation ecosystems

European Institute of Innovation and Technology

Strengthening the European Research Area

Sharing excellence

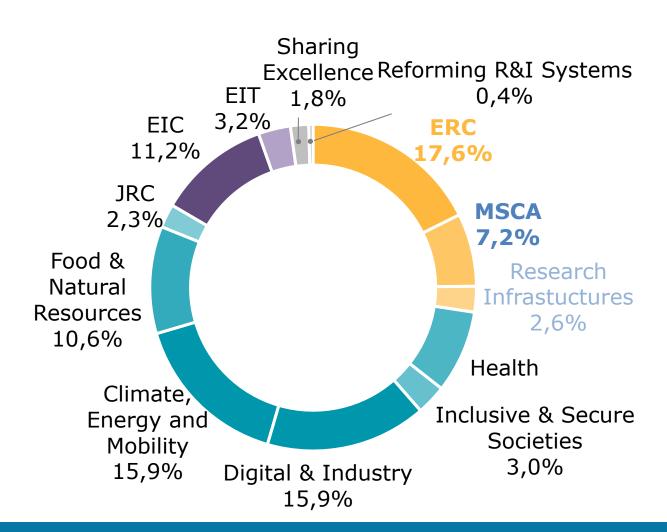
Reforming and Enhancing the European R&I system

European Commission





Horizon Europe budget (EC proposal)



Excellence Science

25,8 Bn. EUR • 28%

Global Challenges &

Industrial

Competitiveness

52,7 Bn. EUR • 56%

Open Innovation

13,5 Bn. EUR • 14%

Strengthening the

European Research Area

2,1 Bn. EUR • 2%

Total: 94,1 Bn. EUR





ERC funding principles & schemes





Key principles

- EU funding of basic research ("frontier research")
- Science-led ownership (Scientific Council)
- Open to all fields of research and to researchers of any age and nationality
- Scientific excellence is the sole criterion for evaluation
- Funding of individuals: Principal Investigator (+ team) & project
- Funding: 100 % of direct project costs: salary, travel, consumables, publications (Open Access), equipment (within the limits of depreciation rules); plus 25 % overhead
- Annual calls, online submission of proposals





ERC Funding Schemes

Starting Grant

Consolidator Grant

Advanced Grant

2 – 7 years after PhD 7 – 12 years after PhD

10 year track record

Proof of Concept

Exploring market potential of ERC grants

Synergy Grant

2-4 PIs pursuing ambitious & integrated projects





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StG

CoG

PΙ

Funding (for a max. duration of 5 years)

Host institution
Time commitment
Application

procedure

2-7 years* after PhD 7-12 years* after PhD (*extension in exceptional cases; special rules for MD) 1 (StG) or several (CoG) important publications as main author/without participation of PhD supervisor

max. EUR 1.5 million max. EUR 2 million

(in addition: up to EUR 1 million for major equipment, PIs from Third Countries; access to RIs)

EU Member State or Associated Country

≥ 50% ≥ 40%

≥ 50% in Europe

single submission of full proposal, two-step evaluation (including interview)





Prolonged eligibility (StG, CoG)

- Calculation of the eligibility time window: Time elapsed between date on the PhD certificate and 1 January of call year (i.e. 2020)
- Prolonged eligibility for parental leave
 - Mothers: 18 months per child
 - Fathers: documented time of leave taken
- Other reasons (after PhD):
 - Documented elapsed time for long-term illnesses (> 90 days)
 - Clinical training, national service





Eligibility of medical doctorates

- Additional requirement: Pls with medical doctorate (MD/Dr.med.)
 need to provide additional PhD or appointment that requires
 doctoral equivalency (postdoc fellowship, professorship, ...)
- Specific eligibility window:
 - Starting Grant: 4-9 years past 3rd medical examination (Staatsexamen)
 - Consolidator Grant: 9-14 years past 3rd medical examination (Staatsexamen)
- Date of medical doctorate does not count for eligibility window
- Pls with MD and PhD: date of earliest degree applies





ERC Advanced Grant

PI

Benchmark

Funding

Host institution

Time commitment

Evaluation procedure

Established researchers

Significant research achievements (indicative specs.) in the last 10 years

max. EUR 2.5 million for up to 5 years (in addition: up to EUR 1 million for major equipment, PIs from Third Countries; access to RIs)

EU Member StateAss/ociated Country

≥ 30% (≥ 50% in Europe)

single submission of full proposal, two-step evaluation





ERC Synergy Grant

Target group

Benchmark

Funding

Host institution

Time commitment

Procedure

2-4 PIs, complementarity is essential

Ambitious research goals, could not be addressed by individual PIs

max. EUR 10 million for up to 6 years (in addition: up to EUR 4 million for major equipment, PIs from Third Countries, access to RIs)

EU Member State/Associated Country (optional: 1 PI from Third Country)

≥ 30% (≥ 50% in Europe)

single submission of full proposal, three-step evaluation (including interviews)





ERC application & peer review





Application process

- Annually calls for proposals
- Specific (strict) deadline
- Proposal
 - submitted by the PI
 - jointly with the Host Institution (Host Support Letter)
- Online submission: Funding and Tenders Portal: https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/home





Proposal elements

Part A (online forms)

Administrative Forms/ Proposal Submission Forms

- 1 General Information
- 2 Participants & Contacts
- 3 Budget
- 4 Ethics
- 5 Call-specific questions

Part B B1 B2 Synopsis CV Scientific proposal Track record

Supporting documentation

- Host Support Letter (Host Institution Binding Statement of Suppor
- PhD-Certificate (and further documentation, StG & CoG only)
- Ethic review table & ethics self-assessment (if applicable)





Evaluation

- Peer-review by evaluation panels (ca. 15 members) and remote referees (specialists)
- Panel chairs will be known in advance; members afterwards
- 3 scientific domains
 - Physical Sciences & Engineering (PE): 10 Panels
 - Life Sciences (LS): 9 Panels
 - Social Sciences & Humanities (SH): 6 Panel
- Applicants choose panel/s (indicate keywords)
- Up to 3 reviewers can be excluded in advance



ERC Panels



Life Sciences

- LS1 Molecular Biology, Biochemistry, Structural Biology and Molecular Biophysics
- LS2 Genetics, 'Omics', Bioinformatics, Systems Biology
- LS3 Cellular and Developmental Biology
- LS4 Physiology, Pathophysiology and Endocrinology
- LS5 Neurosciences and Neural Disorders
- LS6 Immunity and Infection
- LS7 Applied Medical Technologies,
 Diagnostics, Therapies, and Public Health
- LS8 Ecology, Evolution and Environmental Biology
- LS9 Applied Life Sciences, Biotechnology and Molecular and Biosystems engineering

Physical Sciences & Engineering

- PE1 Mathematics
- PE2 Fundamental Constituents of Matter
- PE3 Condensed Matter Physics
- PE4 Physical and Analytical Chemical Sciences
- PE5 Synthetic Chemistry and Materials
- PE6 Computer Science and Informatics
- PE7 Systems and Communication Engineering
- PE8 Products and Process Engineering
- PE9 Universe Sciences
- PE10 Earth System Science

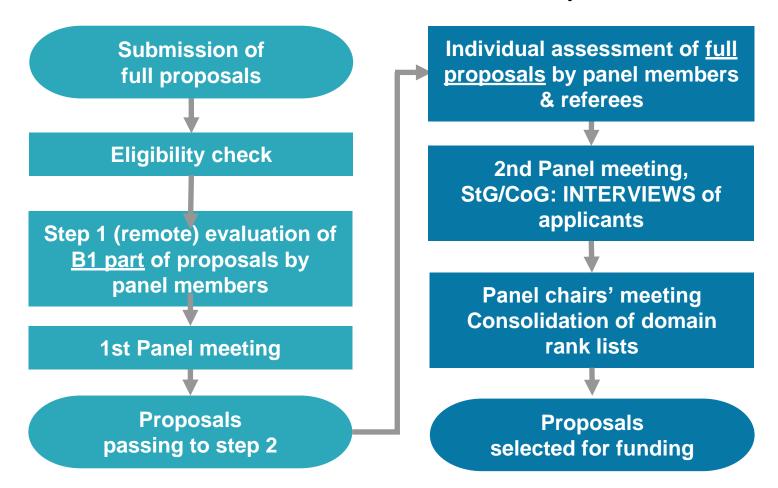
Social Sciences and Humanities

- SH1 Individuals, Markets and Organisations
- SH2 Institutions, Values, Environment & Space
- SH3 The Social World, Diversity, Population
- SH4 The Human Mind and Its Complexity
- SH5 Cultures and Cultural Production
- SH6 The Study of the Human Past





StG, CoG & AdG: evaluation process







Evaluation criteria: project (I)

Ground-breaking nature & potential impact of the research project

- To what extent does the proposed research address important challenges?
- To what extent are the objectives ambitious and beyond the state of the art (e.g. novel concepts and approaches or development between or across disciplines)?
- To what extent is the proposed research high risk/high gain (i.e. if successful the payoffs will be very significant, but there is a higher-than-normal risk that the research project does not entirely fulfil its aims)?

ERC WP 2020





Evaluation criteria: project (II)

Scientific Approach

- To what extent is the outlined scientific approach feasible bearing in mind the extent that the proposed research is high risk/high gain (based on the Extended Synopsis)?
- To what extent does the proposal go beyond what the individual Principal Investigators could achieve alone (for Synergy Grants, based on the Extended Synopsis)?
- To what extent is the combination of scientific elements put forward in the proposal crucial to address the scope and complexity of the research question (for Synergy Grants, based on the Extended Synopsis)?

ERC WP 2020





Evaluation criteria: project (III)

- To what extent are the proposed research methodology and working arrangements appropriate to achieve the goals of the project (based on the full Scientific Proposal)?
- To what extent does the proposal involve the development of novel methodology (based on the full Scientific Proposal)?
- To what extent are the proposed timescales, resources and PI commitment adequate and properly justified (based on the full Scientific Proposal)?

ERC WP 2020





Evaluation criteria: Pl

Intellectual capacity and creativity:

- To what extent has the PI demonstrated the ability to conduct ground-breaking research?
- To what extent does the PI provide evidence of creative independent thinking?
- To what extent does the PI have the required scientific expertise and capacity to successfully execute the project
- To what extend has the PI demonstrated sound leadership in the training and advancement of young scientists? (for AdG)

ERC WP 2020



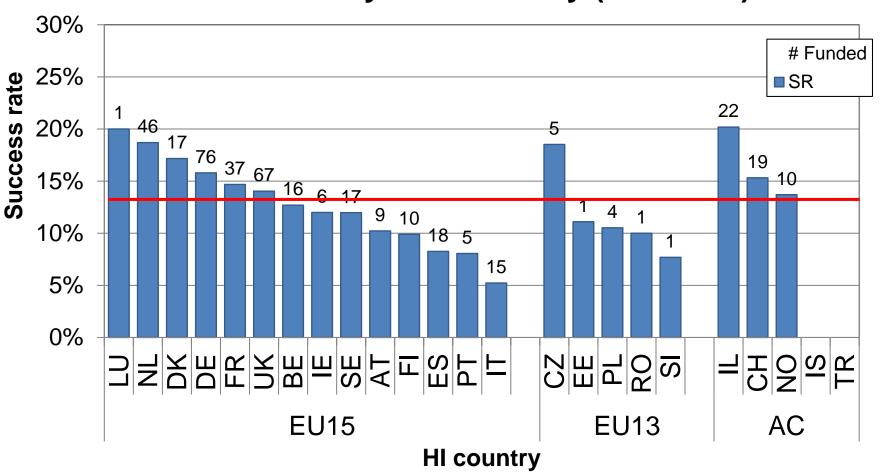


Success rates





Success rate by host country (StG 2018)

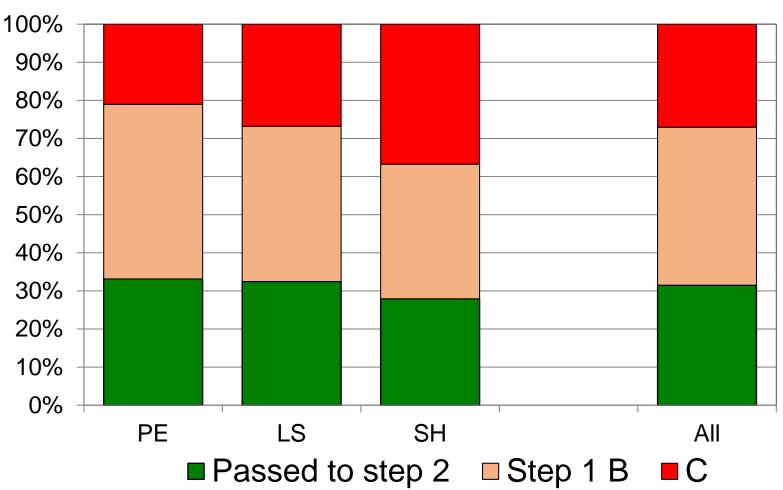


Source: ERC





Success rate 1st evaluation phase (StG 2019)

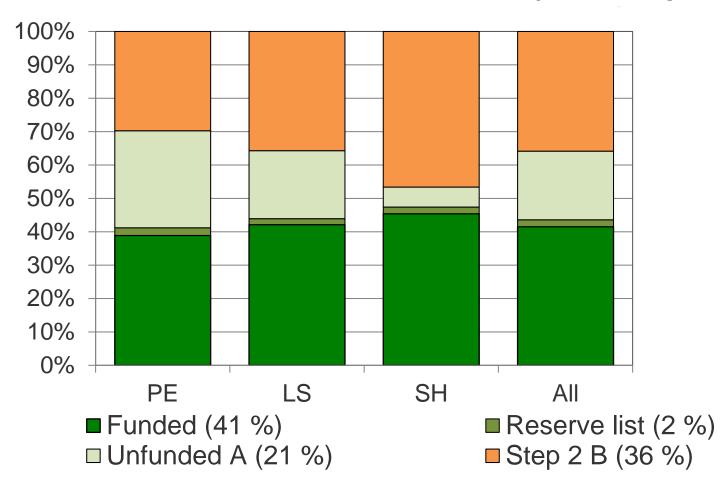


Source: ERC





Success rate 2nd evaluation phase (StG 2018)

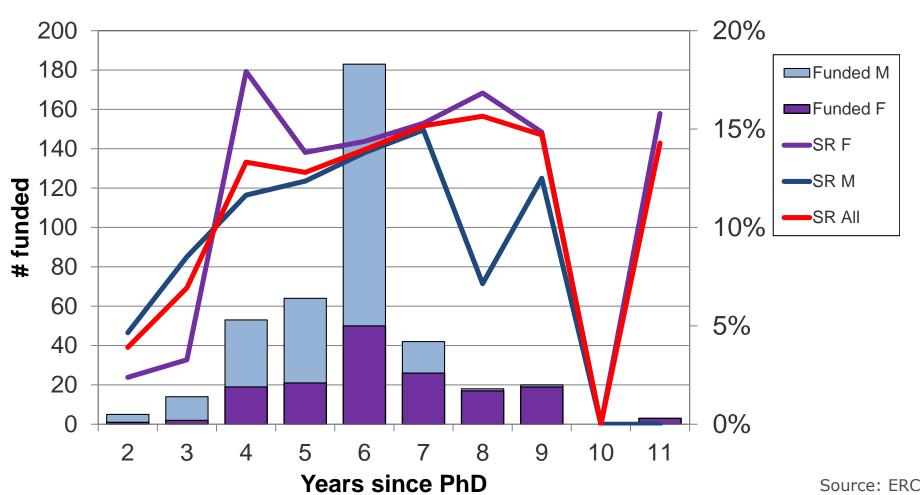


Source: ERC





Success rate by years past PhD (StG 2018)







ERC Calls 2020





ERC Call 2020 overview (I)

	Starting Grant	Consolidator Grant	Advanced Grant	Synergy Grant	Proof of Concept Grant
Call identifier	ERC-2020-StG	ERC-2020-CoG	ERC-2020-AdG	ERC-2020-SyG	ERC-2020-PoC
Call Opens	17/07/2019	24/10/2019	14/05/2020	18/07/2019	15/10/2019
Call closes (cut-off dates for PoC)	16/10/2019	04/02/2020	26/08/2020	05/11/2019	21/01/2020 23/04/2020 17/09/2020

ERC WP 2020





ERC Call 2020 overview (II)

	Starting Grant	Consolidator Grant	Advanced Grant	Synergy Grant	Proof of Concept Grant
Budget million EUR (estimated number of grants)	677 (455)	657(343)	492 (209)	350 (39)	25 (167)
Planned dates to inform applicants (after each step or cut-off date)	20/05/2020 07/08/2020	17/07/2020 11/12/2020	25/01/2021 23/04/2021	20/04/2020 17/08/2020 09/11/2020	02/05/2020 31/07/2020 18/12/2020
Indicative date for signature of grant agreements (by cut-off date for PoC)	05/12/2020	03/04/2021	21/08/2021	19/03/2021	30/08/2020 28/11/2020 17/04/2021

ERC WP 2020





Restrictions on re-submission

Call to which the Prince under previous ERC Work evaluation	2020 ERC calls to which a Principal Investigator is <u>not</u> eligible		
2018 and 2019 Starting, Consolidator, Advanced Grant or Synergy	Rejected on the grounds of a breach of research integrity	Starting, Consolidator, Advanced and Synergy	
2018 Starting, Consolidator, or Advanced Grant	C at Step 1	Starting, Consolidator and Advanced	
2010 Starting Cancalidator	A, or B at Step 2	No restriction	
or Advanced Grant	B, or C at Step 1	Starting, Consolidator and Advanced Grant	

ERC WP 2020





Further information & support





Important Documents

- ERC Work Programme
- Information for Applicants
- ERC Rules for Submission and Evaluation
- Research Proposal templates

Information for Applicants to the Starting and Consolidator Grant 2019 Calls



Established by the European Commission



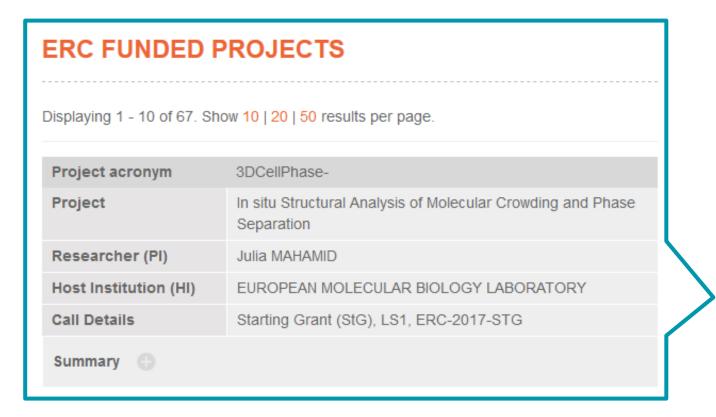
https://erc.europa.eu

<u>https://ec.europa.eu/info/funding-</u>
<u>tenders/opportunities/portal/screen/programmes/h2020</u>





Funded projects (ERC website)









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