

## Guidelines

# for Proposal Submission under the Research Impulses Programme (Establishment Proposals)



Disclaimer: The English translation of this document is provided for informational purposes. In the event of a discrepancy between the English and the German versions, the German text takes precedence.

## A. General Information

The following data and documents must be submitted electronically to the DFG Head Office via the DFG's elan portal:

- **Master data** (see below for explanation)
- **Proposal incl. appendix** (in elan: "Project Description")
- **Covering letter from the management of the applicant university of applied sciences (HAW/FH) (hereinafter: higher education institution – HEI).**

The master data "Title" and "Summary" must be provided in both English and German in the elan portal. If possible, please use English for the proposal and the documents submitted in the appendix (see section 8 for details).

The proposal – in A4, Arial 11pt, line spacing 1.2 – must not exceed 100 pages (excluding cover page, list of abbreviations, table of contents, concluding declaration and appendix). You are welcome to create a navigable table of contents in the PDF document. A different font size and line spacing can be used in the tables, figures, footnotes and bibliography provided good legibility is ensured. The sample proposal sets out a binding structure, at some points including tables: unless otherwise stated, this must be adhered to. Applicants are allowed to provide a more detailed subcategorisation and insert additional tables, table rows and figures. Please clarify what the financial requirements are for the implementation of the planning as set out in the RI and indicate these without including a programme allowance. No links may be included to websites containing additional information specific to the proposal. Links to general websites may be included, for example those of the HEI/HEIs.

Only works that have been published or finally accepted for publication may be cited. For the latter, please submit the manuscript and the letter of acceptance from the publisher electronically if the publication is not yet publicly accessible at the time of proposal submission. Otherwise, it is sufficient to provide a link or an identifier (DOI) together with the literature reference.

If, in preparing your proposal, you used artificial intelligence (AI) in the form of generative models for text and image creation, please disclose this fact in a scientifically appropriate manner in your proposal. For more information, see the "[Research Integrity](#)" portal.

All text sections below in grey font serve as guidance for the preparation of the proposal.

## 1 Master data

Please enter the following master data on the elan portal:

[elan.dfg.de](http://elan.dfg.de)

The information provided here must match the relevant details as set out in the proposal itself.

**Title in German and English**

maximum 300 characters each, including spaces, no special characters

**Summary of the proposal in German and English**

a maximum of 3,000 characters each, including spaces; these texts are published online in the GEPRIS information system in the event of approval

**Primary review board and, if applicable, other review boards reflecting the subject-specific orientation of the planned RI.**

Please use the DFG's subject classification system here:

[www.dfg.de/subject-classification](http://www.dfg.de/subject-classification)

**Applicant HEI**

**Spokesperson**

**Participating institution(s) in Germany**

for example HEIs, non-university research institutions and other non-profit institutions such as museums, etc. that are expected to receive funds from the RI budget

**Principal investigators**

Approx. 5-10 people (with elan account)

**Requested total of all project funds broken down by year**

not including programme allowance

## B. Guidelines for Drafting the Proposal

Please use the proposal template (DFG form 53.300) to draft your proposal. The following guidelines are intended to help you in this process.

[www.dfg.de/formulare/53\\_300\\_elan](http://www.dfg.de/formulare/53_300_elan)

### 1. General information

#### 1.1 Title

maximum 300 characters each including spaces, no special characters

#### 1.2 Summary of the proposal

maximum 3,000 characters each including spaces

#### 1.3 Applicant HEI

Only *one* HEI may act as the applicant.

#### 1.4 Spokesperson

The spokesperson must hold a permanent, full-time professorship at the applicant HEI, appointed in accordance with the applicable employment law, and represent the concerns of the Research Impulse within the bodies of the respective HEI. This person should lead the RI for the entire duration of the proposed funding period.

#### 1.5 Participating institution(s) in Germany

If applicable, provide a list of the names of HEIs, non-university research institutions and other non-profit institutions, such as museums, that are to make significant contributions and are expected to receive funding from the RI budget.

#### 1.6 Principal investigators

In alphabetical order, please list all persons who are significantly involved in the planned RI. Please indicate their current post at the institution (e.g. W3, W2, W1 professorship, head of independent junior research group, service platform manager, research assistant). The principal investigators are responsible for the scientific design and implementation of the research project as well as for the structural integration and development of the RI as a whole. A doctorate or a comparable achievement is required for this role as proof of completed

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academic training. Since the principal investigators are expected to make a significant and lasting contribution to the implementation of the project, they must also have an employment contract or employment prospects for at least the entire duration of the funding period applied for.

Example:

- Prof. Dr. Smith (W3), HEI XY, permanent post, retirement 2047, expertise
- Dr. Smith, University of AB, temporary post (until DD MONTH YYYY), expertise

Please explicitly state the share of women among the principal investigators underneath the list, using the following example wording: "N of the principal investigators are women, a share of p percent."

## 1.7 Cooperation partners

Where applicable, please list here a) any institutions/companies and b) individuals, whether in Germany or abroad, that are to make significant contributions but are not to receive funding from the RI budget.

## 2. Objectives of the Research Impulse

Adhering to a maximum of five objectives, please state what you consider to be the most important overarching objectives that you wish to achieve with the RI, as a basis for measuring the success of the funding. These can include structural development objectives as well as research objectives. The subsequent sections 3-5 are to indicate the measures you intend to adopt to achieve these objectives.

## 3. Research project

Please explain your research project based on sections 3.1 to 3.8.

### 3.1 Scientific objectives, research approach and positioning within the field of research

Explain the research objectives of the RI. Describe the relevant approaches, methodologies and measures you will use to pursue your objectives.

The following guiding questions might be helpful here:

What are the overarching research objectives at the heart of the RI? What particular scientific challenges does this pose and how do you plan to overcome them? In which areas does the research project have the potential to extend the current state of knowledge? What added

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value results from collaboration within the RI? Could the RI have an impact on the long-term development of the field of research and/or open up new areas of research?

### **3.2 Structuring of the research project**

Present an overview of the chosen structure for the research project, for example according to overarching topics or fields of research and the way in which these topics or fields are being linked by the adopted structure. In order to allow for differing subject-specific requirements and to permit conceptual diversity, no guidelines for structuring the research project are provided. Arrangement according to research units is possible, as are other forms of structuring. Please also explain which measures are taken for the coordination and management of the group as well as for quality management within the project. A detailed description of the specific objectives, tasks and work programmes of the individual research units is provided under point 3.4.

### **3.3 Personnel and institutional composition**

Describe the scientific profile of the group of principal investigators. Explain which other experts and institutions are involved or will be involved in the future and how they will contribute to achieving the scientific objectives of the RI.

### **3.4 Detailed description of the research project**

Following the structure presented under 3.2, please provide a detailed description of the research project. For each research unit you are planning, name the researchers in charge and other responsible researchers, also considering the following points:

- specific scientific objectives of the research unit and contribution to the overall objectives of the RI
- state of research
- preliminary work completed by those involved, possibly jointly
- work programme (approaches, methods, risks and opportunities, alternative strategies)
- internal and external collaborations

### 3.5 Relevance of sex, gender and/or diversity in the research project

Where applicable, please describe whether and if so to what extent the sex, gender and/or diversity

- of persons under study,
- of individuals affected by the implementation of research results,
- of animals under study,
- with regard to samples taken from humans or animals,
- of researchers,
- or in other respects

is relevant to the research project (methods, work programme, objectives, etc.).

The relevance may vary depending on the subject area, project topic or methods and according to the different stages of the research project (ranging from the development of research questions through to application), for instance.

Additional information is available under [www.dfg.de/diversity\\_dimensions](http://www.dfg.de/diversity_dimensions).

Please avoid duplicating information provided in section 4.2. Section 3.5 addresses relevance to the research content, not equity at the level of the Research Impulse project team.

### 3.6 Research data management

Describe the concept of the RI for handling research data. Provide details of what research data will be collected, used and/or processed in the RI and how these data (as well as underlying objects, where applicable) will be handled. Use this [questionnaire](#) as a basis. You should also take into account the relevant established infrastructures on site (e.g. library, computer centre or biobank at the applicant HEI), subject-relevant data infrastructures outside your institution (to be found in [www.re3data.org](http://www.re3data.org), for example) and relevant NFDI consortia.

For more information on the DFG's expectations with regard to the handling of research data, please consult the following website:

[www.dfg.de/proposal\\_process/research\\_data](http://www.dfg.de/proposal_process/research_data)

### **3.7 Project- and subject-related list of publications**

Please note the “Guidelines for Preparing Publication Lists” ([DFG form 1.91](#)) and list the publications cited in the proposal here, including a DOI/URL (if available). The bibliographical information should include the titles of the papers and the names of the authors. Publications with multiple authors can be cited as follows: “First author, second author, et al., last author”. The font used for the publication list should not be less than Arial 9 point. A maximum of **ten** of your own publications that are most relevant to the project can be highlighted in bold or some other way.

### **3.8 Ethical and/or legal aspects of the project**

Explanations are required for 3.8.1 to 3.8.5 if the aspects to be addressed are relevant to the proposed research project. Brief explanations are usually sufficient here.

#### **3.8.1 General ethical aspects**

Taking into account the discipline-specific standards and ethical regulations relevant to your project, indicate whether you anticipate any risks and/or harm to individuals or groups and/or the potential for other negative effects that might be posed by your research. If so, how do you intend to address these issues within the project? In general, applicants should assess whether their projects require a statement by an ethics committee. Enter the result of this assessment in section 3.8.1. – even if the result is negative. If a statement by an ethics committee is required, it must be obtained from the responsible body before the relevant research work begins.

For subject-specific information on the requirement of statements by ethics committees, please refer to the DFG’s [FAQs on proposal submission and the funding process](#) under “Questions and information from certain scientific disciplines”.

#### **3.8.2 Descriptions of proposed investigations involving humans, human materials or identifiable data**

Please describe ethical and/or legal aspects of the experimental designs:

- criteria for the selection of test persons
- justification of the number of test persons or sample size
- description of potential risks and precautions taken
- explanation provided for test persons and method of informed consent

Note that a statement by an ethics committee may have to be included. The use of human material obtained for diagnostic purposes also requires a statement to be issued by the local ethics committee.

### **3.8.3 Descriptions of proposed investigations involving experiments on animals**

If animal experimentation is planned, explain how the principle of the 3Rs (replacement, reduction and refinement) will be implemented with regard to various aspects of scientific validity. If you have addressed these topics in previous sections, reference them here. Additional information and guidelines are available in the publication [Animal Experimentation in Research: The 3Rs Principle and the Validity of Scientific Research](#).

### **3.8.4 Descriptions of projects involving genetic resources (or associated traditional knowledge) from a foreign country**

For research conducted abroad involving biological materials (or associated traditional knowledge) or research on biological objects originating from outside Germany, note that such projects may be subject to the regulatory requirements of the Nagoya Protocol under the Convention on Biological Diversity and the access and benefit-sharing (ABS) portions contained therein. Guidance on conducting such projects can be found, for example, in the publication [Proposals for Research and/or Development Projects Involving Access to Genetic Resources and/or Traditional Knowledge Associated with Genetic Resources](#), published by the [DFG Permanent Senate Commission on Fundamental Issues of Biological Diversity](#).

Please comment on the ABS requirements that affect your project and indicate any steps you have taken or plan to take to fulfil these requirements. Discuss the role of your project's cooperation partner with regard to the provider country (the country providing access to the material/traditional knowledge). Explain what materials may be transported to Germany. Note that in addition to access and benefit-sharing agreements with the provider country, a declaration of due diligence may also be required in line with the German law "Gesetz zur Umsetzung der Verpflichtungen nach dem Nagoya-Protokoll und zur Durchführung der Verordnung (EU) No 511/2014 sowie zur Änderung des Patentgesetzes".

### 3.8.5 Explanations regarding any possible safety-related aspects

#### 3.8.5.1 “Dual Use Research of Concern”; foreign trade law

Please check whether there are indications in your planned research project that possible research results could produce knowledge, products or technologies that might be directly misused for significant harmful purposes (Dual Use Research of Concern, DURC).

If there are such indications, please familiarise yourself with the recommendations issued by the DFG and Leopoldina on handling security-relevant research, which you can find on the web page linked in the next paragraph. In your proposal, describe how the risk/benefit ratio is to be assessed and what measures are planned to minimise the risk.

If due to the regulations at your HEI or research institution, a committee for ethics in security-relevant research (KEF) or a comparable body is to be involved in advance and asked to issue a statement on the project, please include this statement with the proposal. For further information, see the DFG website on [handling security-relevant research](#).

Projects must comply with foreign trade regulations (especially the War Weapons Control Act [Kriegswaffenkontrollgesetz], EC Regulation No. 428/2009 [EC Dual Use Regulation], the Foreign Trade and Payments Act [Außenwirtschaftsgesetz], the Foreign Trade and Payments Ordinance [Außenwirtschaftsverordnung] or embargo regulations) relating to the non-proliferation strategy and the handling of potentially critical goods, including technologies, software and sensitive knowledge transfer; applicants are advised to examine their projects accordingly. Information for researchers is available on the website of the German Federal Office for Economic Affairs and Export Control (BAFA)<sup>1</sup>.

If you require further clarification, please contact BAFA directly. For projects subject to licensing, please note that licences must be obtained from the responsible authority prior to beginning research on the project.

#### 3.8.5.2 Risks in international cooperation

International scientific cooperation is one of the basic principles of research. However, it is essential, particularly in the case of cooperation with researchers in countries where misuse of certain research results is to be suspected<sup>2</sup>, to take potential opportunities for abuse

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<sup>1</sup>

[https://www.bafa.de/EN/Foreign\\_Trade/Export\\_Control/Export\\_Control\\_and\\_Academia/export\\_control\\_academia\\_node.html](https://www.bafa.de/EN/Foreign_Trade/Export_Control/Export_Control_and_Academia/export_control_academia_node.html)

<sup>2</sup> Indications concerning these states can for instance be derived from information issued by the Federal Foreign Office or reports released by the German domestic intelligence services, but also from national and international regulations and agreements, as well as from detailed guidelines relating to export restrictions.

seriously, place them in perspective with the benefits of such cooperation and make informed trade-off decisions. Use this space where applicable to reflect on how the risk/benefit ratio is to be assessed with regard to the research subject, research partners and research conditions and what measures are planned to minimise risks. In doing so, please refer to the recommendations on “Dealing with Risks in International Research Cooperation” ([www.dfg.de/risiken\\_int\\_kooperationen\\_en](http://www.dfg.de/risiken_int_kooperationen_en)) and the aspects of assessment and reflection recommended in this paper.

### **3.8.6 Considerations on aspects of ecological sustainability in the planning and implementation of the project**

In research as well, there is an urgent need for a further shift towards sustainable practices. Use this space to reflect on how sustainability considerations are taken into account in the research processes proposed. Research quality is the pivotal factor for the funding decision, however, so this is the priority in terms of planning the research; for this reason, resource-saving and emission-reducing measures should not result in restrictions in the desired knowledge gain. Here, you are expected to provide a brief statement that refers specifically to the work programme to be undertaken in this project. If increased funding is required due to more sustainable research approaches, this can be taken into account when applying for funds subject to an annual limit (€1 million). For further information, see the cross-disciplinary catalogue of guiding questions:

[www.dfg.de/reflection\\_sustainability](http://www.dfg.de/reflection_sustainability)

## 4 Priority research areas and research environment

Based on the suggestions below, create subsections as necessary to explain how the RI will contribute to establishing priority research areas and structures, and also to strengthening the research environment at the applicant HEI. You are welcome to include additional subsections of your own.

### 4.1 General conditions and development strategies at the HEI

Explain the significance of the RI to the applicant HEI. How does the topic to be pursued by the consortium fit into the profile of the applicant HEI? What research focus should be achieved or enhanced? To what extent is the RI to be seen as complementing other profile-building measures and strategies that may already have been implemented or planned, and how is the RI distinct from these?

Likewise, explain the support for the RI in terms of personnel, finance and infrastructure to be provided now and in the future by the applicant HEI and, if applicable, by the other participating institutions. If possible, explain how the use of the funds is embedded in the HEI's strategic plans and concepts, e.g. in connection with existing or planned service platforms and research data infrastructures. Where appropriate, cross-cutting approaches to technologies and information technology should also be considered.

### 4.2 Equity and diversity

Please explain how the applicant HEI and the RI address gender equality for researchers, diversity in research and the compatibility of research and family (including care). Please outline the existing practices at the applicant HEI and within the participating departments or faculties, briefly explaining which strategies and measures have already been implemented and which priorities have been set. Where available, relate figures and targets to the participation rates which are typical of the subject area/career stage in Germany (cascade model). Take into account the substance of the DFG's Research-Oriented Equity and Diversity Standards:

[www.dfg.de/equity\\_diversity\\_standards](http://www.dfg.de/equity_diversity_standards)

Do strategies of this nature already exist at this institutional level in which the activities of the RI are embedded?

Describe which consortium-specific and subject-specific measures are to be implemented within the RI to promote gender equality for researchers, diversity in research and the compatibility of research with family (including care).

#### **4.3 Promotion of early career researchers**

Explain which concepts and measures will be applied to support early career researchers at the various levels of qualification (i.e. students, doctoral researchers, postdocs and heads of junior research groups) within the context of the RI. Consider measures that allow researchers to acquire both subject-specific and cross-disciplinary qualifications (such as presentation techniques or the skills required for writing academic articles) that are helpful for a career both in academia and in the non-academic sector. Where applicable, describe how such measures are to be integrated into structures already in place or yet to be established that seek to promote the aforementioned groups. If relevant, please also address the extent to which researchers at postdoctoral or junior research group leadership level are to be given an opportunity to take on responsible tasks within the RI and thereby establish their own profile in view of potential subsequent career stages.

#### **4.4 Teaching**

Describe how the RI will impact on teaching at the applicant HEI. Are new courses or lecture series to be put on? In view of the work to be done in the RI, might specific degree programmes even be reformed or newly established?

#### **4.5 Knowledge transfer and science communication**

If applicable, please describe existing plans and structures for transferring the findings that will emerge from the RI into areas of society beyond basic research. For this purpose, the RI might collaborate with proposal partners (such as commercial enterprises, associations or public institutions). Furthermore, it might make sense to adopt suitable measures to make the topics, working methods and results of the RI accessible to other target groups outside the academic milieu and engage in dialogue with such groups.

If structures are already in place at the applicant HEI or through collaboration with other participating institutions, which allow insights from research projects to be transferred to industry and society, and the RI is able to draw on these, please describe them. In addition, also address any existing plans to set up new structures and pursue new measures that are geared towards this objective.

#### 4.6 Collaborations

Provide an overview of existing and planned collaborations between the RI and institutions in Germany and, where applicable, in other countries. Here, it is not necessary to list institutions involved in the proposal at which the principal investigators are employed.

Institution as cooperation partner	Location
Individual as cooperation partner	Location/institution

#### 5 Long-term funding of structures and improvement of research conditions

What measures will be taken to ensure that the new structures resulting from the RI have a long-term impact and are permanently maintained at the institution? How does the RI fit into the HEI's plans for the future? To what extent is the HEI committed to promoting the research priority and supporting it structurally on a long-term basis?

## 6 Financial requirements of the RI

Please set out in a table the funds that are requested for the RI **excluding the programme allowance** and break these down as follows (all figures are to be rounded to the nearest €100). Use the tables to set out the planned funding structure; create a funds table for each research unit (Tables 6.1 – 6.x) and another table for funds to be used for central tasks and structural measures (Table 6.y).

**Table 6.1: Funds for Research Unit 1**

Research Unit 1	Funding Year (FY)									
	1	Number	2	Number	3	Number	4	Number	5	Number
<b>Funding for Staff</b>										
1. <category, % >										
2. <category, % >										
Total										
<b>Direct Project Costs</b>		Amount								
3. <category>										
4. <category>										
Total										
<b>Investment Funds</b>		Amount								
5. Instrumentation up to €50,000										
6. Instrumentation over €50,000										
Total										
<b>Amount per year</b>										
<b>Total amount for the project duration</b>										

**Table 6.2: Funds for Research Unit 2**

Research Unit 2	Funding Year (FY)		Funding Year (FY)		Funding Year (FY)		Funding Year (FY) 4		Funding Year (FY)	
	1	2	3	4	5					
<b>Funding for Staff</b>	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount
1. <category, % >										
2. <category, % >										
Total										
<b>Direct Project Costs</b>	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount
3. <category>										
4. <category>										
Total										
<b>Investment Funds</b>	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount
5. Instrumentation up to €50,000										
6. Instrumentation over €50,000										
Total										
<b>Amount per year</b>										
<b>Total amount for the project duration</b>										

**Tables 6.3 – 6.x: Funds for Research Units 3 – x**

Use the above template to add further tables for each additional research unit.

Staff funding can be calculated based on the personnel cost categories listed in [DFG Form 60.12](#):

- Professorship
- Head of independent junior research group

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- Postdoctoral researcher or comparable
- Doctoral researcher or comparable
- Medical research assistant
- Other research assistant
- Non-academic staff member
- Miscellaneous staff expenses (e.g. student and research assistants, replacement costs)

▪ With the exception of “Miscellaneous staff expenses”, the DFG provides reference rates for the above-mentioned categories: please use these as a guideline when calculating the funds required. Pay groupings such as TV-L E9 and TVöD E13 are not to be indicated under “Category” as they are not relevant to the proposal. **When specifying staff funding, anticipated salary increases must be taken into account.**

▪ Together with the category, indicate a percentage of working time or remuneration provided for the respective person(s) (see example below).

▪ Under “Number”, please indicate the number of people to be remunerated according to the category and percentage indicated in this line (see example below).

▪ Then, indicate the amount that would result if the reference rate for the category according to the [DFG’s current staff funding rates](#) were multiplied by the relevant percentage and number, and add to this amount any anticipated salary increases in subsequent years.

▪ Example: For a project involving a part-time postdoc, two doctoral researchers with 65% positions, and a technician, the three columns “Category”, “%”, “Number” and “Amount” under “Funding for Staff” would be as follows:

Postdoc, 50% | 1 | 0.5 × reference rate for “Postdoctoral researcher or comparable” from DFG form 60.12

Doctoral researcher, 65% | 2 | 2 × 0.65 × reference rate “Doctoral researcher or comparable” from DFG form 60.12

Non-academic staff member 100% | 1 | reference rate “Non-academic staff member” from DFG form 60.12

For each staff position for which funds are calculated, the tasks to be carried out by the respective person within the research unit are to be described below the table, showing why they are required. In addition, the institution at which the posts are to be located must be named.

**Direct project costs** can be applied for in the following three categories:

- Instrumentation up to €10,000, Software and Consumables
- Experimental Animals
- Other

"Other" might include the commissioning of third parties including details of the third-party supplier in question, remuneration of test persons, use of documentation services, rental of vehicles, compensation for use and usage costs for large-scale instrumentation and core facilities.

**Investment funds** can be applied for in the following two categories:

- Instrumentation between €10,000 and 50,000
- Instrumentation over €50,000

The basis here is the purchase price (gross).

In the tables above, only one line is to be entered per category used. Please add more detailed explanations for all three categories of funds below the tables.

### Table 6.y: Funds for central tasks and structural measures

Provide a breakdown of the funds which are requested for central tasks and structural measures and which are not listed in Tables 6.1 – 6.x. Break down the funds you are requesting for structural measures in all areas of activity relevant to the RI as follows, rounding the amounts requested per year and category to the nearest €100.

Central tasks and structural measures		Funding Year (FY) 1		Funding Year (FY) 2		Funding Year (FY) 3		Funding Year (FY) 4		Funding Year (FY) 5	
	<b>Funding for Staff</b>		Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number
1.	<category, % >	Area of activity									
2.	<category, % >	Area of activity									
	Total										
	<b>Direct Project Costs</b>		Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount
3.	<category>	Area of activity									
4.	<category>	Area of activity									
	Total										
	<b>Investment Funds</b>		Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount
5.	Instrumentation up to €50,000	Area of activity									
6.	Instrumentation over €50,000	Area of activity									
	Total										
	<b>Amount per year</b>										
	<b>Total amount for the project duration</b>										

Possible fields of activity include:

- Effective career support in academia
- Equity and diversity
- Management and quality assurance
- Research data
- Science communication
- Collaborations (funding for guests can also be specified here)
- Research-oriented teaching
- Knowledge transfer
- Publications
- Travel
- ...

For each field of activity, please indicate only the relevant categories of funds using the explanations provided in Table 6.3 - 6.x as a guide. Once again, more detailed explanations of the requested funds are to be added under the tables.

**Table 6.z: Total funds requested**

Total funds	Funding Year (FY) 1	Funding Year (FY) 2	Funding Year (FY) 3	Funding Year (FY) 4	Funding Year (FY) 5
Funding for Staff					
Direct Project Costs					
Investment Funds					
<b>Total Project Funds</b>					
<b>Spokesperson's budget</b>					

If the consortium spokesperson belongs to the gender which is under-represented in their discipline (for guidance, please refer to the list on the following website: [DFG, German Research Foundation - Underrepresented Gender at the Project Management Level](#)), a budget of up to €80,000 can be requested for each funding year in addition to the maximum funding amount that can be requested. This budget is to be used to meet individual and/or subject- or project-specific relief requirements resulting from taking on the position of spokesperson. Instructions on how to use these funds are to be found in the module guidelines Coordination:

[www.dfg.de/formulare/52\\_12](http://www.dfg.de/formulare/52_12)

## 7 Declarations

Please confirm the accuracy of the information provided in the proposal, including the research profiles, and your acceptance of the provisions of the DFG Rules of Procedure for Dealing with Scientific Misconduct (VerfOwF). In addition, we require your consent to the processing and transfer of special categories of personal data (including the data of all persons involved) for the purposes set out in the data protection notices.

## 8 Appendix

Please submit the following information only as an appendix to the proposal. The appendix must not contain any information beyond this. The appendix does not count towards the 100-page maximum length that applies to the proposal.

### 8.1 Research profiles

Please provide an academic CV for each of the principal investigators using this CV template:

[www.dfg.de/formulare/53\\_200\\_elan](http://www.dfg.de/formulare/53_200_elan)

Be sure to adhere to the page limit of max. four pages per CV. Combine the CVs into a single PDF document and sort them in alphabetical order by last name. Answers to frequently asked questions about the CV template are listed in a [separate FAQ compilation](#).

## 8.2 Cover letter from the HEI

## 8.3 Letter of support from the federal state

## 8.4 Optional: The main academic achievements

On no more than two pages, please list up to 15 of the most important academic accomplishments achieved by the researchers responsible for the RI (e.g. publications, patents, software packages, research awards, third-party funding acquired, contributions to the (technical) infrastructure of an academic community, contributions to science communication). You may provide a brief explanation of the significance of the respective accomplishment for the RI. This compilation can be uploaded to elan under “Other Attachments”.

## 8.5 Where relevant: scientific papers accepted for publication

Manuscripts must be submitted if papers are cited in the bibliography or in CVs that have been accepted for publication but are not yet publicly accessible at the time of submission of the proposal. In such cases, please enclose the letter of acceptance on the part of the respective publication body for each manuscript.

## C. Proposal submission via the DFG's elan portal

The documents listed under A above must be submitted as PDF files via the DFG's elan portal and named as follows:

Document	File name
Application (Antrag)	FIP_ShortTitle_A_Proposal
Research profiles (Forschungsprofile)	FIP_ShortTitle_B_Profiles
Cover letter from the HEI (Begleitschreiben der Hochschule)	FIP_ShortTitle_C_University Letter
Letter of support from the federal state (Unterstützungsschreiben des Landes)	FIP_ShortTitle_D_Support Letter
Optional: 15 academic achievements (15 wissenschaftliche Leistungen)	FIP_ShortTitle_E_Achievements
Optional: Accepted manuscripts (Angenommene Manuskripte)	FIP_ShortTitle_F_Manuscripts

In addition, the marked master data must be entered in the online form on the elan portal. The online form is available at the following link:

[elan.dfg.de](http://elan.dfg.de)

The proposal is submitted by the spokesperson on behalf of the applicant HEI. Please note that a personal elan account is required for this purpose. Please also create an elan account for all other principal investigators at least one week prior to submission.

The proposal (in elan: “Project Description”) and the annexes (up to a data size of 10 MB) are to be submitted without password protection and without access restrictions regarding reading, copying and printing.

After sending the files listed under A above, the spokesperson receives automatic confirmation of submission by e-mail with a receipt document in PDF format. The receipt document must be stamped and signed by the spokesperson and the management of the applicant HEI and sent to the DFG by post.

## **D. Further Information**

Further information on the funding programme is to be found on the following website:

[DFG, German Research Foundation - Research Impulses](http://DFG.de)

If you have any further questions, please contact the DFG Head Office ([FIP@dfg.de](mailto:FIP@dfg.de)).