Open Research Area for the Social Sciences Eighth Call for Proposals 2023

CALL SPECIFICATION

Overview	
Value for a project	**ANR**: Up to €450,000
	DFG: No maximum limit
	ESRC: From minimum £200,000 to maximum £600,000 (at 100% fEC, ESRC will meet 80% of the fEC)
	SSHRC: Up to $600,000 (maximum $200,000 per year)
Duration	2 to 3 years
Application deadline	Tuesday, 14 November 2023, 23:00 Central European Time (17:00 Eastern Time)
Results announced	September 2024
Apply	[Convergence Portal](#)

Contents

1. Introduction

The eighth round of Open Research Area (ORA) is based on an agreement between the Agence nationale de la Recherche (ANR; France), the Deutsche Forschungsgemeinschaft (DFG; Germany), the Economic and Social Research Council of UK Research and Innovation (ESRC; the UK), and the Social Sciences and Humanities Research Council (SSHRC; Canada).

In order to strengthen international co-operation in the field of social sciences, wishing to fund high quality scientific research within their own countries, and aware that some of the best research can be delivered by working with the best researchers internationally, ANR, DFG, ESRC and SSHRC are launching an eighth ORA call for proposals in order to fund the best joint research projects in social sciences. The scheme will provide funding for integrated projects by researchers coming from at least three of the four subscribing countries – in any combination of three or four countries.

Proposals may be submitted in any area of the social sciences. However, the disciplinary coverage varies according to the involvement of the national agencies. Applicants who are uncertain of whether their proposal would be eligible should contact the relevant national agencies for clarification.

There is a possibility for the cooperation partners in Japan (if applicable) to obtain a special funding from a Japanese funding agency. The Japan Society of the Promotion of Science (JSPS) will provide an independent funding opportunity on application basis to support the cooperation partners in Japan involved in the successful proposals. For further details, please see 2.2 Cooperation partners.

ORA 8 will use the same format as ORA 7: the call will follow a one-stage, one-application procedure consisting of a full proposal to be submitted by November 14, 2023.

Funding will be distributed among the national agencies according to the affiliation of the researchers, and according to the funding rules of each agency.

SSHRC is the coordinating agency for this round. All proposals for this call will be submitted through the [Convergence Platform](#). For detailed guidance on how to submit proposals, please refer to the *Convergence Guidance for Applicants*.[1]

Deadline for the submission of proposals is Tuesday, 14 November 2023, 23:00 Central European Time (17:00 Eastern Time). Proposals submitted after the deadline will not be accepted.

2. Eligibility

2.1 Project participants

The roles of the various project participants in ORA 8 research projects are as follows:
- ✓ **Applicant**: there must be one applicant per country involved in the proposal. The applicant will fulfil the role of a principal investigator as defined by their respective funding agency, and act as contact point with their agency for all national matters. Applicants must meet their national agency eligibility requirements.
- ✓ **Main applicant**: one applicant is nominated by the project team to be the main applicant for administrative reasons: as a main applicant, they are in charge of the online submission of the proposal and they act as the main contact point with the coordinating agency (SSHRC) for all matters related to the proposal as a whole.
- ✓ **Co-applicant**: any individual who makes a significant contribution to the intellectual direction of

---

[1] All documents referenced in this document are downloadable from the ORA 8 Call webpage, unless mentioned otherwise.

the research, who plays a significant role in the conduct of the research, and who may also have some responsibility for financial aspects of the research (for countries where funding can be distributed among several sub-teams, in conformity with national rules).

- ✓ **Team members**: all other participants who will make contributions to the research and will benefit from funding must be listed as team members.
- ✓ **Cooperation partners**: these are partners (if applicable) or other individuals (researchers as well as non-academic partners, depending on the rules of each agency), including those from countries other than Canada, France, Germany, Japan, and the UK (see section 2.2 for details). For example, if a scientific board is set up for the project, members can be listed here.

All project participants must be listed in the *Proposal Template* attachment.

For the purpose of the ORA 8 call, the main applicant, the applicants, and the co-applicants are considered **project applicants**. All project applicants and their institutions must fulfil national eligibility rules for research proposals as set by the relevant funding agency (see section 9 for information specific to each participating funding agency).

The ORA 8 call is open to proposals from eligible Applicants from three or four of the subscribing countries, that is, Canada, France, Germany, and the UK. Cooperation partners from Japan and self-funded participants do not count against the three-country minimum requirement.

Project applicants (i.e., main applicant, applicants, and co-applicants) can only be involved in one proposal in the current round as a project applicant. It is the responsibility of applicants (including the main applicant) to ascertain that the project applicants are compliant with this rule. **If any individual appears as project applicant in more than one application, all applications will be declared ineligible and rejected by the Call Secretariat.** Project applicants in one proposal may still be involved in any number of proposals as either team members or cooperation partners.

Following European restrictive measures, no collaboration between project consortia and Russian and/or Belarussian institutions is allowed.

2.2 Cooperation partners

Japan

If an ORA project has cooperation partners in Japan, the main applicant will indicate this in the *Proposal Template* attachment. For these projects, an annex of no more than one page should also be added to the *Proposal Template*. The annex should include information on the participants in Japan and a summary of the component to be performed by the said participants.

Find partners in Japan: https://kaken.nii.aco.jp/en/

Following to the ORA 8 competition, JSPS will provide an independent funding opportunity on application basis to support the cooperation partners in Japan involved in the successful proposal. The cooperation partners in Japan wishing to take advantage of the funding opportunity, they will be invited to separately submit their applications to JSPS through their affiliated research institutions for assessment. Further guidance on the submission of the application including the format and deadline will be communicated to the project participants in Japan considered eligible for the application at a later stage. The submitted applications will be evaluated according to JSPS's own review schedule and criteria and funding availability will be decided independently from the framework of this competition.

URL: https://www.jsps.go.jp/english/e-bottom/01_d_outline.html

Other countries

Other individuals (e.g., researchers as well as non-academic partners, depending on the rules of each

agency), including those from countries other than Canada, France, Germany, Japan, and the UK, may be included as cooperation partners as long as they bring the relevant funding with them, or are eligible for funding under one of the subscribing agencies' rules. For example, if a scientific board is set up for the project, members can be listed here. Please note that other countries do not count against the three-country minimum requirement for the ORA 8 call.

2.3 Content of proposals

Proposals may be submitted in any area of the social sciences within the remit of the relevant national agencies concerned (see section 9 for additional information concerning each agency).

All projects must focus on substantive research and feature an integrated work programme demonstrating clearly the added value of transnational collaboration. The funding agencies expect that each partner contributes substantially to the common project topic, including taking responsibilities for the project organization. These contributions should also be reflected in a reasonable amount of requested funds by each partner.

Scientific infrastructure or networking activities can only be funded within projects with a substantive research focus. **As stand-alone projects, infrastructure or networking projects will not be eligible and will be rejected.**

Ethics
Applicants must ensure the proposed research will be carried out to a high ethical standard and their application must clearly state how any potential ethical and health and safety issues have been considered and will be addressed ensuring that all necessary ethical approvals are in place and all risks are minimised. Applicants are strongly encouraged to consider the ethical guidelines of all partners involved in a proposal (that is, as pertaining to ANR, DFG, ESRC, and/or SSHRC, as applicable).

Equity, diversity and inclusion
Applicants are encouraged to consider equity, diversity and inclusion (EDI) in their research plans and proposals. EDI considerations can be incorporated into the research team, research environment and research design through, among others: integrating diversity-related considerations into the design of the project (e.g., use gender or other identities as factors of analysis, or involve research participants from diverse or disadvantaged groups); composing and recruiting diverse research teams; offering quality training and mentoring for young researchers from disadvantaged groups; and/or ensuring research-related activities and decisions are conducted in inclusive ways.

Data management
Planning for data management is a requirement for all applicants planning to generate data as part of their grant. The data management plan should be used as an opportunity to describe how the data are going to be managed – starting from planning for research and through the lifecycle of the grant until data is accepted for archiving. Applicants are strongly encouraged to consider the data management guidelines of all partners involved in a proposal (that is, as pertaining to ANR, DFG, ESRC, and/or SSHRC, as applicable).

2.4 Project duration and budget

Proposals may be for projects with a minimum period of two years and a maximum of three years (24 to 36 months). This covers the scientific and the administrative durations of a project.

All budget items must conform to the national rules relevant for each applicant and national group.

The budget requested from each national agency should not exceed the funding limit for that agency. The following funding limits apply:

- ✓ **ANR**: Up to €450,000 per project
- ✓ **DFG**: No maximum limit per project
- ✓ **ESRC**: From minimum £200,000 to maximum £600,000 (at 100% fEC, ESRC will meet 80% of the fEC apart from items eligible for Exceptions)
- ✓ **SSHRC**: Up to $600,000 per project, with a maximum of $200,000 per year, per project

2.5 Proposal submission

Proposals must be written in English, with the exception of proposals including Canadian partners, which may be written in French. SSHRC eligible applicants wishing to submit in French must get in touch with the national contact at SSHRC (ORA8@sshrc-crsh.gc.ca) for further details. ANR eligible main applicants and applicants wishing to add a French translation of their project will be able to do so when uploading their proposal to ANR's SIM platform.

Proposals must be submitted to the SSHRC Convergence Portal.

Applicants requesting funding from ANR are required to additionally submit their proposals through the ANR SIM platform.

Applicants requesting funding from DFG are required to additionally submit their proposals through the DFG elan system (see section 9.2 for more information).

Applicants will need to complete online Convergence forms and a number of attachments (some of which require the use of templates). All templates are available on the ORA 8 call webpage. In addition to this document, please read the *ORA 8 Convergence Guidance for Applicants* document carefully before submitting your proposal.

Completed proposal forms and attachments must be a single integrated submission from all subscribing countries involved in the project.

Applicants **must** adhere to the requested character and page limits for templates and attachments, as set out in the *Convergence Guidance for Applicants* and *Proposal Template*. If the stated maximums are exceeded or if the necessary attachments are not included, the proposal will be **disqualified and rejected** by the Call Secretariat.

Applicants must note that the national agencies retain the right to reject proposals where they fail to comply with the procedures set out in this document and other ORA 8 call literature available on the ORA 8 website. **If a proposal is ineligible with one national agency the project will be rejected by all the agencies concerned and will not be included in the ORA 8 competition.**

If your ORA 8 proposal is a resubmission of a previously unsuccessful ORA proposal, this must be clearly stated in the *Proposal Template* attachment. Please note differing national agency rules regarding resubmissions:
- ✓ ESRC does not allow the resubmission of a previously unsuccessful proposal, however ANR, DFG, and SSHRC do allow for resubmissions.
- ✓ Applications that are resubmissions of previously unfunded non-ORA projects (i.e., applications to a non-ORA call) are also allowed by ANR, DFG, and SSHRC, but not by the ESRC.
- ✓ However, if an application to a non-ORA call is still awaiting a decision, then resubmissions are not allowed by any of the funding organisations.

For further information, please check the participating agencies' national rules on this.

We strongly advise proposals be submitted in advance of the deadline, to allow for any potential issues with the submission process to be resolved in a timely manner.

Proposals cannot be submitted after the application deadline.

3. Guidelines for proposals

Only proposals that meet all the conditions set out in this call will be included in the assessment procedure. Applicants must ensure that proposals contain sufficient and consistent information for assessment. The national agencies will not accept supplementary information or revisions after the deadline.

Proposals must include comprehensive and detailed scientific explanation of the proposed research. They should address expert peer reviewers and commissioning panel members in the respective research field. Proposals must give as much and thorough information as necessary and address the assessment criteria outlined in this document (see section 5 below).

Your ORA 8 application consists of:
- ✓ SSHRC's Convergence application form
- ✓ Proposal Template (must be downloaded, completed and uploaded to the Convergence application form)
- ✓ Narrative CV Template (must be downloaded, completed and included within Proposal Template)
- ✓ ESRC Finance form (must be downloaded, completed and uploaded to the Convergence application form if requesting funding from ESRC)
- ✓ SSHRC Finance form (must be downloaded, completed and uploaded to the Convergence application form if requesting funding from SSHRC)
- ✓ SSHRC Terms and Conditions for Applying (must be downloaded, completed and uploaded to the Convergence application form if requesting funding from SSHRC)
- ✓ Letters of support (if applicable; to be uploaded to the Convergence application form)

In addition:
- Main applicants and applicants from France requesting funding from the ANR are required to submit their proposals to both SSHRC's Convergence platform and ANR's SIM platform by the deadline for submitting proposals.
- Main applicants and applicants from Germany requesting funding from DFG are required to submit their proposals to both SSHRC's Convergence platform and DFG's elan system by the deadline for submitting proposals.

SSHRC's Convergence application form is the actual form for your ORA 8 application hosted on SSHRC's Convergence Platform. The *Convergence Guidance for Applicants* document provides instructions on how to navigate and complete the form. If you encounter technical difficulties with the Convergence application form, please contact technical support at websupport@convergence.gc.ca.

Proposal Template includes the following sections: project identification, project participants, research description, total budget, justification of resources, bibliography, summary of Japanese proposal, former submissions and resubmissions, and curricula vitae. Important information about what to include in each section is provided in the proposal template itself. This template is mandatory and must be attached to SSHRC's Convergence application form. The *Proposal Template* is available on the ORA 8 website.

Applicants from Canada and the UK are required to fill out the **ESRC Finance Form** and the **SSHRC Finance Form** for the funds requested, and to upload the forms as separate documents in SSHRC's Convergence application form. The ESRC and SSHRC Finance Form templates are available on the ORA 8 website. Applicants from France requesting funding from the ANR are expected to complete the full finance form in the ANR's SIM platform; they will upload their proposals to both SSHRC's Convergence Portal and ANR's SIM platform by the deadline for submitting proposals. Applicants from Germany are

required to upload their proposals via the DFG elan system by the deadline for submitting proposals and specify their budget there.

Exceptionally, **letters of support** can be submitted as part of a proposal, provided they are essential to the successful conduct of the research (e.g., confirming access to datasets, confirming access to or use of the facilities provided by named organisations, offering cash and/or in-kind contributions to your project). General letters of support that are not essential for the successful conduct of the research or do not confirm any specific contribution to the project should not be included. There is no template for letters of support.

4. Decision-making process

The decision-making process includes determination of eligibility, external assessment, applicant response to external assessment, commissioning panel review, and approval by the national agencies.
- ✓ Once the call has closed, the funding agencies conduct office checks on all proposals. **Proposals not deemed to be within the scope of the call, or which do not meet the core eligibility criteria, will be rejected.**
- ✓ Eligible proposals are sent for assessment to external, independent referees for peer review. Each proposal will be evaluated by a minimum of two external referees. According to the size and the disciplinary breadth of the project, additional reviews may be commissioned.
- ✓ Following written peer review, but prior to the meeting of the commissioning panel, the main applicants will be invited to submit (on behalf of all project participants) a response to comments received on their proposal. Further guidance on the format of this response will be provided by the Call Secretariat. This will allow applicants to correct any factual errors, conceptual misunderstandings, or to respond to any questions highlighted in the comments from assessors on proposals. This is ***not*** an opportunity for the main applicant to change or re-constitute the proposal in light of the comments received. Applicants are not obliged to submit a response, but are encouraged to do so as responses from applicants will be considered by the commissioning panel in making its decision on the final grading and ranking of proposals. If a response is not received from the main applicant within the requested deadline, then the application will proceed to the commissioning panel without it.
- ✓ Proposals, external assessments and applicant responses will be discussed by a joint commissioning panel.
- ✓ After deliberations, the commissioning panel agrees on a rating and a funding recommendation, taking into account the available national research agency budgets. The funding recommendations of the commissioning panel will be subject to approval by the national agencies. In making final decisions, the guiding principle will be scholarly merit (research excellence).

Funding recommendations will be announced after the commissioning panel meeting. Applicants will receive the reviews of the external referees and a consensus report of the commissioning panel as feedback. Based on the recommendations by the panel and taking into account the available (individual agency) budgets, the participating funding agencies will make final funding decisions, depending on the national rules.

The earliest starting date for successful proposals will be October 1, 2024, and the latest starting date will be March 31, 2025.

5. Assessment criteria

External assessors and commissioning panel members will be asked to comment on the following criteria when assessing proposals, and therefore applicants are advised to consider all the relevant criteria when preparing their proposals.

Challenge—The aim and importance of the endeavour (45%):

- **The originality and potential contribution to new scientific knowledge (theory, methodology, or practice):** Is the proposed research likely to make an original and significant contribution to scientific knowledge? Is there an expected advancement in its own field and/or across different fields? Is the relevant state of the art literature in the field considered adequately, or is there similar/related work not mentioned in the proposal of which the applicant(s) should be aware?

- **Appropriateness of research design:** To what extent is the proposed research design and work plan appropriate to achieve the project's objectives? Does the proposal have clear and sound conceptual and theoretical foundations? Are the research methods and framework for analysis suitable to the aims and objectives; are they clearly defined and rigorous? How innovative is the conceptual approach, or the research methodology?

- **Likely impact of the work:** Is the planned scientific output of the research appropriate? Is the research likely to have significant impact beyond the academic community?

Feasibility—The plan to achieve excellence (25%):

- **Feasibility of research design*:** Is there a convincing research management and sensible work plan? Is the timescale and scheduling of the work appropriate and realistic? Is the research feasible?

- **Communication plans:** Have the applicants made adequate plans to publish and disseminate the results of the research? Where relevant, have appropriate arrangements been made for engaging potential users of the research at relevant stages of the project?

- **Overall value for money**:** Is the research proposed overall good value-for-money for the total cost involved?

- **Individual aspects of resourcing the proposal:** Are the specific funding requests essential/sufficient for the proper conduct of the research proposed (i.e., with respect to the overall length of time for the project, the amount of time to be devoted to it by the proposed applicant and co-applicants, and their level of commitment, the amount of time for research, technical and support staff and the level of appointment for such staff, the equipment, consumables and other directly incurred costs such as travel and subsistence, the costs of collecting, establishing, providing or organizing the necessary data and research materials, the costs of research dissemination, and access to institutional research facilities)?

- **Ethical issues and data management:** Are the ethical issues raised by the proposed research, if any, addressed appropriately and comprehensively by the research proposal and the project design? Have applicants made appropriate plans for data storage and providing access to data for other researchers?

Capability—The expertise to succeed (30%):

- **The appropriateness of the research team:** Are the qualifications and expertise of the participating researchers to conduct the research clear and appropriate to the research? Have the applicants shown relevant and sound preliminary work and related previous publications of high quality? Have there already been joint projects?

- **The appropriateness of the collaboration plans:** Is the planned collaboration described well? Have the applicants clearly demonstrated the scientific added value of the international collaboration? How does the research profit considerably from combining expertise, methodologies, data, etc.?

*The research should be clearly demonstrated as logistically feasible in terms of both reasonable access

being assured to any necessary data, research materials and infrastructure, and the resource plan and funding request, including the staffing requirements proposed, should be reasonable to facilitate the research.

**The key issue here is whether the core potential of the research and its likely contribution to the advancement of knowledge, understanding and/or methodology which it will make, either narrowly within its particular focus or more broadly across its particular discipline or the sciences more generally, are likely to be sufficient to justify the costs involved.

6. Reporting

All successful applicants in the ORA 8 call will be required to complete a joint final report on their project after the grant ends. Further details on the format and deadlines for submission of the final report will be communicated to all successful applicants at contracting stage.

7. Data protection notice

As part of ORA 8 call for projects, SSHRC will collect, use, retain and dispose of personal information in accordance with the [Access to Information](#) and [Privacy Act](#). If you submit a proposal under this call, you agree that information contained in your proposal will be shared between partner agencies (including agencies located outside Canada) for purposes consistent with the program objectives. Without this transmission, the expertise and/or the evaluation of the project cannot take place.

The details relating to any successful applications (name, surname, affiliation, and country of main applicants and applicants, and title of proposals) will be made publicly available by the funding partners.

Further information on how SSHRC uses personal data can be found in SSHRC's relevant Personal Information Bank (SSHRC PPU 055) described in SSHRC's [Info Source](#). If you have any questions about how your personal information will be used in connection to ORA 8, please contact [ATIP-AIPRP@sshrc-crsh.gc.ca](#).

8. Indicative timetable

- Call for proposals open on Convergence: June 2023
- Deadline for submitting proposals: Tuesday, 14 November 2023, 23:00 Central European Time (17:00 Eastern Time)
- Main applicant response to external assessment: March-April 2023
- Commissioning panel meeting: June 2024
- Final decisions to applicants: September 2024
- Grants commence: from 1 October 2024 to 31 March 2025

9. Agency-specific information

9.1 ANR

For complete eligibility criteria, requirements, and additional guidance for French main applicants and applicants, please check the *Modalités pour les participants français* document on the [ORA 8 website](#). Proposals with French main applicants and applicants that are not submitted to both SSHRC's Convergence platform and ANR's SIM platform by the application deadline will be deemed ineligible.

Who can apply?
Researchers based at organizations eligible to ANR funding under the provisions of the ANR's *Règlement financier*.

What are the eligible costs for researchers requesting funding from your agency?

Standard national rules apply, including for overheads. Staff costs, travel costs, outsourcing, investment costs and other costs are eligible under the provisions of the ANR's *Règlement financier*. Please see also the Fiche n°3 "Les coûts admissibles (dépenses éligibles)" document.

9.2 DFG

Please consult the Guidelines and Proposal Preparation Instructions for Individual Research Grants for further information on eligibility: Merkblatt Programm Sachbeihilfe, German and English

German researchers from non-university research institutions must comply with their duty to cooperate (Kooperationspflicht). This duty to cooperate is not met if one only cooperates with international university partners.

In line with its Individual Research Grants Programme, DFG does not specify a maximum limit to the amount of funding that can be requested. However, please keep in mind that this is a research grant, not a Research Unit (FOR) or a Collaborative Research Centre (SFB).

DFG's regulation concerning *First Time Applicants* will not be taken into account for this call.

All applicants requesting funding from DFG are required to additionally submit their ORA proposals through the DFG's elan system (https://elan.dfg.de) by the application deadline. Proposals with German applicants that are not entered into elan will be rejected. More information on elan can be found on the DFG homepage.

Please note the following instructions for submission:

- Deadline for elan submission: 14 November 2023, 23:59 h
- Funding scheme: Individual Grants Programme/Research Grant (Sachbeihilfe)
- Call to select: ORA VIII 2023
- Acronym: Please make sure to include the acronym you selected in the *Proposal Template*.
- Title and Summary: You do not have to translate either from English into German. Just copy/paste your English title and summary into the boxes that call for the information in German.
- Applicants, including the main applicant, from the other participating countries are to be added as "Participating Individuals" in the role of "Cooperation Partner". Any person listed as cooperation partner in the form "ORA 8 Project participants" should not be entered into elan at all.
- All documents submitted to Convergence are to be uploaded to elan as well.
- DFG-specific documents (e.g. statement from the potential employer for Temporary Positions for PI or the statement by the university for the Replacement Module) need only be submitted to elan, not to Convergence.

9.3 ESRC

Notice: The total budget each UK project may request is from a minimum of £200,000 to a maximum of £600,000 (at 100% fEC, ESRC will meet 80% of the fEC apart from items eligible to be funded at the exceptional rate of 100%). All resources requested must be fully justified in the 'Justification of Resources' section of the Proposal Template.

Standard ESRC research funding terms and conditions apply as set out in the [ESRC Research Funding Guide](#).

Eligibility
The ESRC is the UK's leading research and training funding agency addressing economic and social concerns. The ESRC will fund the UK partner(s) of successful proposals that fall within its remit. A full list of acceptable ESRC research areas is available on the [ESRC website](#).

Applicants will need to ensure that their research falls within ESRC's remit prior to submission. The ESRC strongly encourages inter/multidisciplinary working both within and beyond the social sciences, as long as at least 50% of the proposed programme of research is within ESRC remit. Please refer to the list of research areas that fall within [ESRC remit](#) for further information.

All UK applicants (whether Principal or Co-Investigators) requesting funding from the ESRC must be associated with an eligible Research Organisation. Applicants are encouraged to consult the [ESRC Research Funding Guide](#) for eligibility information.

The ESRC will fund and support high quality basic, strategic and applied research in the social sciences which meet the needs of users and beneficiaries. Our mission places emphasis on ensuring researchers engage fully with the users of research outcomes. These may be other academics, government departments, public bodies, business, voluntary organisations or other interested partners.

Applicants requesting ESRC funds may therefore also include costs associated with knowledge exchange, co-production and collaboration between researchers and the private, public and civil society sectors. This may include costs for activities such as:
-   academic placements with a civil society, business or public organisation
-   policy or evidence seminars
-   the development of tools that emerge from research that can maximise the use of research outputs in practitioner communities, and
-   collaborative research, where researchers are engaging directly with users in shaping the research agenda in applying social science to current issues relating to policy, strategy or practice.

In such cases, the ESRC expects to see research and knowledge exchange activities developed in collaboration with non-academic stakeholders and welcomes co-funding (cash or in-kind) as a demonstration of support for such activities. When requesting expenditure for involvement including non-academic Co-Investigators, please refer to [Inclusion of UK business, third sector or government body co-investigators on ESRC proposals.](#)

UK Co-Investigators in business, civil society or government bodies
Any researcher from an established business, civil society or government body based in the UK will be eligible to be listed as a Co-Investigator(s). For further information please refer to the Inclusion of UK business, third sector or government body co-investigators on ESRC proposals document available on [ESRC website](#). Please note that the total of these costs and the costs for International Co-Investigators must not exceed 30% of the full 100% fEC cost of the grant.

International Co-Investigators (from non-ORA Call participating countries)
Any academic researcher (i.e., those holding a PhD or equivalent qualification, or higher) from an established international research organisation of comparable standing to an ESRC-eligible UK research organisation will be eligible to be listed as an international Co-Investigator under this scheme. Please consult the [guidance](#) on international co-investigators on proposals for further information. Please note that the total of these costs and the costs for UK co-investigators in business, civil society of government bodies must not exceed 30% of the full 100% fEC cost of the grant.

ESRC Definitions of ORA Participants
Please note that UK guidance uses the terminology of Principal Investigator and Co-Investigator, which

broadly aligns to the ORA terminology of Applicant (i.e., the one lead applicant for the UK) and Co-Applicant respectively. All other participants associated with the UK-component of the proposal should be listed as a Team Member (e.g., named staff) or Cooperation Partner (e.g., Project Partner).

Associated Studentships
Associated Studentships are not allowed for the UK component of ORA.

ESRC successful applicants
As a condition of access to UK ESRC funding, all successful UK applicants will be required to complete an additional submission to ESRC via TFS (the Funding Service) for administrative purposes. The additional call for submissions will be open only to UK applicants who were successful in the ORA eighth call. Further details and a timetable for this activity will be communicated to successful UK applicants following final funding decisions.

Open access policy
ESRC-funded researchers are expected to comply with the UKRI open access policy on research publications and outputs.

ESRC Resubmissions Policy
ESRC does not allow the resubmission of any previously unsuccessful proposal. This explicitly means that no previously unsuccessful ORA proposal with a UK applicant can be resubmitted to an ORA call or another ESRC scheme. Similarly, an unsuccessful ESRC proposal from another scheme cannot be submitted to the ORA. Proposals previously considered by another UK Research Council may not be resubmitted to this call unless they were rejected on remit grounds.

UK ESRC expenditure
Applicants from the UK are required to complete the UK ESRC Finance Form. UK applicants are requested to provide a detailed breakdown of costings with clear justification. Costings within the ESRC expenditure spreadsheet must be provided in pounds sterling (£).

Requests for funds from ESRC must adhere to ESRC funding guidelines as found in our Research Funding Guide.

9.4 SSHRC

National funding agencies will fund proposals according to their respective policies and regulations and the affiliation of the researchers. Canadian teams participating in successful ORA 8 international projects will be funded by SSHRC based on the funding request outlined in the *SSHRC Finance Form*.

Value and duration

When an ORA 8 proposal submitted by an international team is selected for funding, its national sub-teams will receive a grant from their respective national funding agencies. Funding agencies will fund successful proposals according to their respective policies and regulations, via the identified eligible applicant.

For Canadian teams, projects will be funded based on the funding request outlined in the *SSHRC Finance Form*, which must be submitted as an attachment to the ORA 8 proposal. The *SSHRC Finance Form* contains an itemized budget in Canadian dollars.

Canadian applicants can request up to $200,000 per year for a maximum of $600,000 over two to three years.

Salary research allowance: Co-applicants from eligible not-for-profit organizations may request a salary research allowance to partially release them from duties to their organization.

Eligibility and requirements

Subject matter

Proposals may involve any disciplines, thematic areas, approaches or subject areas eligible for SSHRC funding. Please see Subject Matter Eligibility for more information. It is the applicant's responsibility to ensure that the proposed project complies with SSHRC's subject matter eligibility. SSHRC reserves the right to declare the project ineligible, which will effectively make the whole international project ineligible.

Here is the equivalency between ORA 8 and SSHRC roles of various project participants:

ORA 8 role	SSHRC role
Main Applicant or Applicant*	Applicant
Co-applicant	Co-applicant
Team member	Collaborator
Co-operation partner	Co-applicant or collaborator

*There must be one applicant for each country involved in the proposal. The role of "main applicant" is taken by one applicant and is for administrative purposes only.

Applicants

Applications are submitted by a team of researchers consisting of one applicant and one or more co-applicants and/or collaborators.

To apply for the SSHRC funding component of the larger ORA 8 funding opportunity, the applicant must be affiliated with an eligible Canadian postsecondary institution at the time of application. Applicants are required to ensure that they comply with all SSHRC policies and regulations and complete the necessary SSHRC-specific documents for submission.

In addition to the required documents for the larger ORA 8 call, the applicant must also submit the *SSHRC Finance Form* and the *SSHRC Terms and Conditions for Applying* document.

Federal scientists who are affiliated with a Canadian postsecondary institution must demonstrate that their proposed research or research-related activity is not related to the mandate of their employer nor to the normal duties for which they receive payment from that employer. If the proposal falls within the mandate of the federal government and the research or research-related activity is performed in government facilities, funding can be allocated for student salaries or stipends and travel costs only.

Institutions

SSHRC invites applications for grants from researchers affiliated with an eligible Canadian postsecondary institution. Please see SSHRC's list of eligible postsecondary institutions. Researchers who are not affiliated with an eligible Canadian postsecondary institution should contact SSHRC well in advance of the deadline.

Postsecondary institutions must contact Corporate Strategy and Performance to inquire about institutional eligibility with SSHRC or to begin the institutional eligibility application process.

Co-applicants

Individuals are eligible to be co-applicants if they are formally affiliated with any of the following:
- **Canadian:** Eligible postsecondary institutions; not-for-profit organizations; philanthropic foundations; think tanks; or municipal, territorial or provincial governments
- **International:** Postsecondary institutions

Collaborators

Any individual who makes a significant contribution to the project is eligible to be a collaborator. Collaborators do not need to be affiliated with an eligible Canadian postsecondary institution. Individuals from the private sector or employees of the federal government who are not affiliated with a postsecondary institution can only participate as collaborators.

Monitoring

Grant holders will be expected to report on the use of grant funds, on funded activities undertaken during the grant period, and on outcomes. Successful applicants will be informed of reporting requirements upon receiving their Notice of Award.

Application process

The ORA 8 call follows a one-stage, one-application procedure consisting of a full proposal. Full proposals for ORA 8 must be submitted through SSHRC's Convergence Portal. Detailed guidance on how to submit a proposal through SSHRC's Convergence Portal is available on the DFG website.

SSHRC is running the ORA 8 competition in English. However, competition materials are available in French upon request, and SSHRC-eligible applicants can submit their proposals in English or French. Applicants who wish to write their proposal in French must contact SSHRC in advance of the application deadline.

In addition to completing the required documents for the ORA 8 call, the SSHRC applicant must complete the *SSHRC Finance Form* and the *SSHRC Terms and Conditions for Applying* document and ensure that the ORA 8 main applicant submits them as attachments to the ORA 8 proposal on Convergence. Note that the *SSHRC Terms and Conditions for Applying* document must be signed by both the SSHRC applicant and a member of their research grants office.

Regulations, policies and related information

SSHRC reserves the right to determine the eligibility of applications based on the information provided. SSHRC also reserves the right to interpret the regulations and policies governing its funding opportunities.

All applicants and grant holders must comply with the Regulations Governing Grant Applications and with the regulations set out in the Tri-Agency Guide on Financial Administration.

Note: Grant holders must also comply with the Tri-Agency Open Access Policy on Publications. See the Open Access overview for more information. The SSHRC Research Data Archiving Policy has been retired. In March 2021, the Canadian Institutes of Health Research (CIHR), the Natural Sciences and Engineering Research Council (NSERC) and SSHRC launched the Tri-Agency Research Data Management Policy. As of April 1, 2021, this new policy replaces SSHRC's Research Data Archiving policy for all active grants.

Privacy notice

All applications must be submitted to the SSHRC's Convergence application system and are subject to the privacy policies governing SSHRC. SSHRC will share application information with other funding agencies involved in this funding opportunity. The personal information contained in the application will be disclosed to, and may be viewed by, any or all of the participating granting agencies, external peer reviewers, and adjudication committee members.

The collection, use, disclosure, retention and disposal of your personal information are in accordance with

the Canadian *Access to Information Act* and the *Privacy Act*. Your information will be managed in accordance with Treasury Board Secretariat policies, directives and guidelines on information management and protection of personal information, and with the agencies' retention and disposition schedules.

Any personal information that you provide to SSHRC for the purpose of applying to this funding competition is collected under the authority of the *Social Sciences and Humanities Research Council Act*. Personal information provided to SSHRC is stored in the personal information bank PPU 055, as described in SSHRC's Info Source chapter. Only the information needed to deliver, administer and promote the ORA 8 competition and awards is collected by SSHRC. Failure to provide the personal information required in the application will result in the application being declared ineligible.

SSHRC is committed to the protection of the personal information under its control and does not take responsibility for the privacy practices of any other ORA 8 partner organizations. SSHRC will only share personal information with the agencies named in this document as required with respect to the ORA 8 funding opportunity. Your information may also be used for program operations and planning, performance measurement and monitoring, evaluation and audits and in aggregate to report to government or to the public.

If you believe your personal information has been mishandled or have concerns about agency privacy practices, you have the right to file a complaint with the Office of the Privacy Commissioner. For more information about your rights under the *Privacy Act*, about our privacy practices or to access or correct your personal information, contact SSHRC's ATIP Co-ordinator.

By submitting your application, you confirm that you have read and understood this Privacy Notice and agree to provide your personal information in accordance with it.

Exemption

For the ORA 8 funding opportunity, SSHRC's appeals policy will not be applicable because of the international nature of the initiative.

10. Contact Details

All queries relating to this call should be directed to the call Secretariat at ORA8@sshrc-crsh.gc.ca.
All queries relating to the SSHRC Convergence Portal should be directed to websupport@convergence.gc.ca.

All country-specific queries should be directed to:

Canada, SSHRC
ORA8@sshrc-crsh.gc.ca

France, ANR
Maria Tsilioni; phone +33 1 73 54 83 04; Maria.TSILIONI@agencerecherche.fr

Germany, DFG
Sigrid Claßen; phone +49 228 885-2209; sigrid.classen@dfg.de
Christiane Joerk; phone +49 228 885-2451; christiane.joerk@dfg.de

United Kingdom, ESRC
ORA8@esrc.ukri.org

Japan, JSPS
Headquarters for International Affairs

International Program Department
International Research Cooperation Division II
International Program Department
Japan Society for the Promotion of Science (JSPS)
Tel. +81 (0)3-3263-1724/1918
Fax. +81 (0)3-3234-3700
Email. bottom-up@jsps.go.jp