

**WORK PROGRAMME  
FOR THE COOPERATION AGREEMENT**

**BY AND BETWEEN**

**CONSEJO NACIONAL DE CIENCIA Y TECNOLOGÍA (CONACYT - NATIONAL  
SCIENCE AND TECHNOLOGY BOARD) OF THE UNITED MEXICAN STATES**

**AND**

**DEUTSCHE FORSCHUNGSGEMEINSCHAFT (DFG, GERMAN RESEARCH  
FOUNDATION) OF THE FEDERAL REPUBLIC OF GERMANY**

**I. AREAS**

The areas will involve all those referred to in the Cooperation Agreement.

Should it be desirable to select a specific research field for each programme, the decision must be taken by the Work Group provided in Chapter IX of this Appendix and the chosen areas must be mentioned in each announcement.

**II. MODALITIES**

The modalities of collaboration will be as referred to in the Cooperation Agreement.

Should it be desirable to select specific modalities for each programme, the decision must be taken by the Work Group provided in Chapter IX of this Appendix and the chosen modalities must be mentioned in each announcement.

**III. CALLS**

1. The Parties have programmed the calls for projects with two dates per year for the submission of joint proposals. The dates will be defined by the Work Group (cf. Chapter IX).
2. The Parties must publish the terms for applications in the same time period and manner and using the agreed means.
3. Applicants must submit their proposals to the corresponding body in their country in accordance with the respective regulations, conditions and formats.
4. Applications not submitted in parallel to both Parties will not be considered and will be automatically rejected.

5. The framework, submission, eligibility rules, and review processes applied to the projects will be decided by resolution of the Work Group.
6. When the term for proposals has been closed in each country, the Parties will exchange information on the proposals that are received and identify by those that make it through to the review process.
7. The Parties hereby agree that proposals for special-format projects, such as Collaborative Research Centres and International Research Training Groups, may be submitted outside the time frame of call and will be subject to prior consultation between the Parties.

#### **IV. PROJECT REVIEW AND SELECTION**

1. The proposals will be classified in each country based on the quality and interest of each Party and in accordance with their own review mechanisms.
2. The review criteria will be based on:
  - a) the scientific quality of the project;
  - b) the experience of the Mexican and German scientific groups;
  - c) the relevance of international collaboration;
  - d) the benefit and importance of the exchange between Mexican and German researchers for project development;
  - e) the viability and technical capacity: proposed methodology, compliance with anticipated objectives.
3. Based on the review and on national priority, the Parties will exchange the results and agree on a selection of joint projects. When one of the Parties decides not to approve a project, the project will be rejected by both Parties.
4. The number of projects to be financed will be decided between the Parties.

#### **V. PUBLICATION OF RESULTS**

1. The Parties will notify the approval of the selected projects and the allocation of resources simultaneously.
2. The results will be published in each country as decided by each Party.

## VI. FINANCING

1. In accordance with the approved project portfolio, **CONACYT** will finance the Mexican researchers and the **DFG** will finance the German researchers in line with the applicable legal provisions that apply to each Party.
2. Financing decisions will be taken independently; however, they will be based on mutual agreement.
3. The projects will be financed in the different programs for the following periods:
  - a) Mobility projects or projects for the initiation of cooperation will have a maximum term of one (1) year.
  - b) Research grant projects will have a maximum term of three years with the possibility of a renewal for a second period.
  - c) Collaborative Research Centres will have a term of four years with the possibility of renewal.
  - d) Research Units will have a regular term of six years, in special cases up to eight years.
  - e) International Research Training Groups will be financed for a period of up to four and a half years with the possibility of renewal for a second period.

## VII. INTELLECTUAL PROPERTY

1. If, as a result of the cooperation activities carried out under this Agreement, products of commercial value and/or intellectual property rights are generated, said products and rights will be governed by applicable national laws and by the corresponding international conventions, binding for the United Mexican States and the Federal Republic of Germany.
2. The Parties may not claim intellectual property rights for the selected projects since the financing they provide is merely a subsidy to support research work. The intellectual property rights correspond to the researchers and the institutions to which they belong. Each researcher is responsible for ensuring the effective protection and appropriate distribution of the intellectual property that arises from the joint development of the projects.
3. The corresponding researchers and the institutions to which they belong will set the terms for managing intellectual property rights.

## VIII. OPERATION AND MONITORING

1. The supervisors of the funded projects will submit progress reports to the corresponding Party in their country (**CONACYT** or the **DFG**) in accordance with

their respective rules and requirements, and in the time frame and formats required by each Party.

2. The reports will consist of a partial annual report and a final report on conclusion of the project. The Parties will use the reports to confirm that the objectives and goals set for the project have been achieved. Should the objectives not be achieved, the Parties will resolve on the measures to be applied to each project.
3. The support received must be mentioned by the Parties in publications associated with the projects included in the programme.

#### **IX. ACTIVITY COORDINATOR AND WORK GROUP**

1. Each Party will appoint an Activity Coordinator to monitor this Work Programme.
2. The coordinators appointed by the Parties are as follows:

**For CONACYT:**

Direction of Evaluation and International Cooperation  
Avenida Insurgentes Sur 1582  
Colonia Crédito Constructor  
Delegación Benito Juárez  
Mexico, D.F. 03940  
Tel. (52) 55 5322-7700 ext. 1743

**For the DFG:**

International Affairs Division  
Kennedyallee 40  
53175 Bonn  
Germany  
Tel. (49) 228 -8852285

3. The constitution of and contribution made by the Work Group will be decided as agreed by both Activity Coordinators. The members of the Work Group will contact each other at least once a year to report on progress made on the activities and discuss the development of additional collaboration activities.
4. This Work Programme will remain valid throughout the term of the Collaboration Agreement signed by and between CONACYT and the DFG. The parties may modify it after previous mutual agreement.

The completion of the Work Programme will not affect the conclusion of the collaboration activities that have been approved and financed during the term thereof unless the Parties agree otherwise in writing.

This Work Programme is signed in 4 (four) original copies, 2 (two) in Spanish and 2 (two) in English, where all copies are equally valid and form an integral part of the Agreement.

**P.P. CONSEJO NACIONAL DE CIENCIA  
Y TECNOLOGÍA OF THE UNITED  
MEXICAN STATES**

**DEUTSCHE  
FORSCHUNGSGEMEINSCHAFT e.V.  
OF THE FEDERAL REPUBLIC OF  
GERMANY**



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**Dr. ARTURO BORJA TAMAYO**  
Director of Evaluation and International  
Collaboration

22 April 2014.



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**Dr. JÖRG SCHNEIDER**  
Head Division of International  
Affairs

22 April 2014.