

Guidelines

Publication Grants

I Objective

Publication grants support the publication of scientific works in printed or digital form by subsidising technical manufacturing costs.

II Description

Publication grants can only be awarded for

- works in which **basic material** is made available for further research (such as editions of primary texts and manuscript material) and
- works of **exceptional scientific importance**, which constitute the first publication of outstanding results. Funds for printing a dissertation/thesis can only be awarded in exceptional cases; one condition for such an application is that the thesis must have received the highest mark possible as stipulated in the respective doctoral degree regulations.

Festschriften and for-pay articles in scientific journals are not eligible for funding.

Grants may be used for print publications, provided they are made available in bookstores, as well as for electronic publications. The proposal should contain cost estimates from two publishers and an explanation of the choice of publisher. Publication grants are to be used to help defray the technical publication costs.

Funds for publishing the results of a DFG-funded project can generally only be applied for within the scope of the grant proposal (see basic module, section II.2.6 “Project-related publication expenses”).

http://www.dfg.de/formulare/52_01/52_01_en.pdf

Please note the following exception: It is possible to apply for a separate publication grant upon completion of a project for book publications from long-term projects or projects whose main objectives are to collect and catalogue information or disseminate research results (e.g. literary editions, text volumes, illustrated volumes or excavation reports). This option will be decided upon within the first project proposal; therefore, it must be both requested and reviewed at this stage.

III Proposal Instructions

Researchers in Germany who have completed their academic training (a doctorate as a rule) are eligible to apply for publications grants. Grants may be used for scientific publications in terms of these guidelines.

Applicants may submit an informal proposal including a statement that the publication was not written as part of a DFG-funded project and providing details on the title, summary and applicant data as specified in sections 2.1, 2.6 and 3.1 of DFG form 54.011 “Proposal Data and Obligations – Project Proposals”.

http://www.dfg.de/formulare/54_011/54_011_en_rtf.rtf

In addition, please explain how the items listed under section II above apply to you. Please submit a signed printout to the DFG Head Office.

The application must include the following items:

- your scientific CV,
- the finished manuscript,

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- detailed cost estimates by two publishers (in accordance with DFG form 11.05, available in German only),
http://www.dfg.de/formulare/11_05/11_05_rtf.rtf
- an explanation of the choice of publisher,
- in the case of a *Habilitationsschrift*: copies of all examiners' reports provided to the faculty.

Please submit an electronic version of your proposal on a CD ROM, preferably as a PDF file (or RTF file) that is not password protected or restricted in any other way. The document security settings should allow your documents to be read, copied and printed. For supporting documents exceeding 200 pages in length, we ask that you send two hard copies in addition to the electronic version.

IV Obligations

In submitting your proposal to the DFG, you agree to:

1. adhere to the **rules of good scientific practice**.¹

The general principles of good scientific practice include, among others: maintaining professional standards, documenting results, rigorously questioning all findings, and attributing honestly any contributions by partners, competitors and predecessors.

Scientific misconduct is defined as the intentional and grossly negligent statement of falsehoods in a scientific context, the violation of intellectual property rights or impeding another person's research work. The circumstances of each case will be considered on an individual basis. In cases where scientific misconduct has been established, the DFG may impose one or more of the following sanctions, depending on the nature and severity of the scientific misconduct:

- issuing a written reprimand to those involved;

¹ The rules of good scientific practice are presented in detail in the white paper *Proposals for Safeguarding Good Scientific Practice* (published by Wiley-VCH) and in the usage guidelines for research grants (DFG forms 2.01 and 2.02) (cf. <http://www.dfg.de> ⇒ Research Funding / Legal Framework Conditions).

- exclusion from the right to apply for DFG funds for a period of one to eight years, depending on the severity of the scientific misconduct;
- revoking funding decisions (complete or partial cancellation of the grant, recalling granted funds, demanding repayment of funds spent);
- demanding that those concerned either retract the discredited publications or correct the falsified data (in particular by publishing an erratum), or appropriately indicate the DFG's retraction of funding in the discredited publications;
- exclusion from acting as a reviewer or from membership in DFG committees;
- denying voting rights and eligibility in elections for DFG statutory bodies and committees.

By accepting funding, the recipient agrees to

2. use the grant exclusively and in a targeted manner to realise the funded project. The use and accounting of funds must conform to the relevant regulations of the DFG.
3. submit progress reports on the research according to the dates specified in the award letter and to present financial accounts to the DFG detailing the use of funds.

The DFG expects that the findings of the projects it funds be made available to the public.

V Publication of Data on Grant Holders and Research Projects

The data necessary for processing your proposal will be stored and processed electronically by the DFG.

By submitting a proposal you agree that, if the proposal is approved, your work address and contact details (name, institution and location, phone, fax, e-mail and website) as well as information about the content of the project (e.g. topic, summary, keywords, subject area, DFG programme, funding period, international connections) will be published in the GEPRIS information system

gepris.dfg.de/en

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and may be published in other, non-commercial publications and databases created in cooperation with the DFG.

You may withdraw your consent to full/partial publication at any time, without affecting the lawfulness of any processing carried out prior to your withdrawal. If you would like to withdraw your consent, please notify the responsible DFG programme contact, preferably in electronic form.